

# ON COUNTABLY GENERATED IDEALS OF $C(X)$

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# ON COUNTABLY GENERATED IDEALS OF $C(X)$

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PRABUDH RAM MISRA

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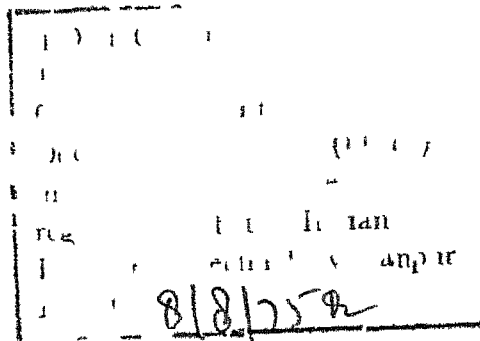
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## CHAPTER 1

### 1 INTRODUCTION

Let  $C(X)$  be the ring of real valued continuous functions on a completely regular Hausdorff space  $X$ . Hewitt [11] proved that if  $X$  is a realcompact metric space, then  $C(X)$  completely determines  $X$  in the sense that a ring isomorphism between  $C(X)$  and  $C(Y)$  implies that  $X$  and  $Y$  are homeomorphic, for any other completely regular Hausdorff space  $Y$ . But as Gillman and Jerison [9] point out, for practical purposes, metric spaces are realcompact and so  $C(X)$  determines  $X$  for most of the metric spaces. While working on metrization problems, Chittenden [2] studied the class of topological spaces in which every point is a zero-set. Anderson [1] proved a remarkable theorem that if  $X$  and  $Y$  are two completely regular spaces in which every point is a zero-set, then a ring isomorphism between  $C(X)$  and  $C(Y)$  implies the existence of a homeomorphism between  $X$  and  $Y$ .

In the thesis we prove that if  $X$  is a realcompact completely regular space in which every point is a zero-set, then  $C(X)$  completely determines  $X$  in the sense of Hewitt. Also, a different proof of Anderson's theorem is given. These theorems are proved in chapter 4 by using the results developed in chapter 3.

Since the topological spaces in which every point is a zero-set are important for us, in chapter 2 we study some topological properties of such spaces. We call such spaces weakly perfectly normal (in brief, wpn). Since every closed set is a zero-set in a

perfectly normal space, a  $T_1$  perfectly normal space will be weakly perfectly normal

The characterization of a point zero-set in terms of  $C(X)$ , presented in chapter 2, has been generalized for an arbitrary zero-set and hence we get a satisfactory characterization of perfect normality in terms of  $C(X)$ . All these characterizations are given in terms of the existence of certain countably generated ideals in  $C(X)$ . These in turn provide motivation for a study of those ideals of all the continuous functions vanishing on a neighborhood of a closed set of  $X$  and ideals of all the continuous functions vanishing on a closed set of  $X$  which are countably generated. Furthermore, we study the ideals  $O^A$  of all the functions  $f$  in  $C(X)$  such that  $\overline{Z(f)}^{\beta X}$  is a neighborhood of  $A$  in  $\beta X$  and  $M^A$  of all the functions  $f$  in  $C(X)$  such that  $\overline{Z(f)}^{\beta X}$  contains  $A$ , where  $A$  is closed in  $\beta X$ , which are countably generated. We settle a question raised in [5] by proving that in a pseudocompact space,  $M^A$  countably generated implies  $A$  is open, for any closed set  $A$  of  $\beta X$ . Also, we give an example to show that it is not so in a general space. All these things are dealt in chapter 3.

From the results of chapter 3 we observe that countably generated ideals play an important role in metric spaces. Kohls in [14] points out the scarcity of countably generated ideals in  $C(X)$ . One of the nice results proved in chapter 5 is that in a first countable space, a prime ideal is countably generated only if it is maximal and hence



it corresponds to an isolated point. We next turn our attention to the study of countably generated  $z$ -ideals in  $C(X)$ , and identify some of them when  $X$  is a metric space. In this context, there is a conjecture by De Marco [4] about the structure of countably generated  $z$ -ideals of  $C(X)$  for an arbitrary space  $X$ . The conjecture was settled in the affirmative by De Marco himself for compact spaces. We settle his conjecture for pseudocompact spaces by the techniques developed in chapter 4.

## 2 Notations and elementary results

The rest of this chapter is devoted to relevant notations, definitions and background results which will be needed throughout the thesis. Some of the results were not known in the specific form stated here.

By a space  $X$  we will always mean a completely regular Hausdorff space, excepting in the first five sections of chapter 2. The standard reference for the elementary definitions and results is [9].

If  $A$  is a subset of a space  $X$ , then  $A^c$  denotes the complement of  $A$  in  $X$ . Some more notations are as follows.

$\mathbb{R}$  = Set of all real numbers

$\mathbb{Z}$  = Set of all integers

$\mathbb{N}$  = Set of all natural numbers

$\omega$  = Set of all ordinals less than first uncountable ordinal

If  $f \in C(X)$  then  $Z_X(f) = \{x \in X \mid f(x) = 0\}$ . If there is no chance of confusion, we write  $Z(f)$  instead of  $Z_X(f)$ .

Proposition 2.1 Every zero-set is a  $G_\delta$ . A closed  $G_\delta$  is a zero-set if it is completely separated from any other disjoint closed set.

The proof of the first statement is obvious and the proof of the second can be given by using the technique developed in 1.14 of [9]. A simpler proof of it will be produced in 5th chapter.

It is clear that  $Z(f - |f|) = \{x \in X \mid f(x) \geq 0\}$  and  $Z(f + |f|) = \{x \in X \mid f(x) \leq 0\}$ . We have the following results.

Proposition 2.2 (a) Let  $f \in C(X)$ . There exists a function  $g \in C(X)$  such that  $Z(g) = f^{-1}[0, r]$  for some  $r \in \mathbb{R}$ .

(b)  $f, g \in C(X)$  with  $Z(g) \subset Z(f)$ . Let  $b$  be a bounded continuous function on  $X - Z(g)$ . Define a function  $h$  on  $X$  as follows

$$h(x) = f(x) - b(x) \quad \text{for } x \notin Z(g)$$

$$h(x) = 0 \quad \text{for } x \in Z(g)$$

Then  $h$  is continuous on  $X$ .

Proof (a)  $g = (f - |f|)^2 + ((f - r) + |f - r|)^2$  serves the purpose.

(b) Clearly  $h$  is continuous on  $X - Z(g)$ . Since  $X - Z(g)$  is open in  $X$ , inverse image of any open set, not containing zero, in  $\mathbb{R}$ , under  $h$  will be open in  $X$  as  $h$  is continuous on  $X - Z(g)$ . It remains to prove that inverse image of any open set of  $\mathbb{R}$ , containing zero, is also open in  $X$ . It is sufficient to prove that  $h^{-1}(-\epsilon, \epsilon)$  is open for some  $\epsilon > 0$ . As is argued above, it is clear that every point of  $h^{-1}(t)$  is an interior point of  $h^{-1}(-\epsilon, \epsilon)$  for any  $t \neq 0$  and  $t \in (-\epsilon, \epsilon)$ . Next, let

$|b| < M$  Also,  $|f(x)| < \frac{\epsilon}{M} \Rightarrow -M|f(x)| > -\epsilon \Rightarrow$   
 $b(x)|f(x)| > -\epsilon$ , and similarly  $|f(x)| < \frac{\epsilon}{M} \Rightarrow b(x)|f(x)| < \epsilon$ ,  
 we have

$$|f(x)| < \frac{\epsilon}{M} \Rightarrow -\epsilon < b(x)|f(x)| < \epsilon$$

$$\Rightarrow -\epsilon < b(x)f(x) < \epsilon$$

$$\{x \mid |f(x)| < \frac{\epsilon}{M}\} \subseteq \{x \mid -\epsilon < h(x) < \epsilon\}$$

But  $Z(f) \subseteq \{x \mid |f(x)| < \frac{\epsilon}{M}\}$  which is open. Thus every point  
 of  $Z(f)$  is an interior point of  $h^{-1}(-\epsilon, \epsilon)$ . We can write

$$h^{-1}(-\epsilon, \epsilon) = [(h^{-1}(-\epsilon, \epsilon) \cap (X - Z(g))) \cup Z(g)]$$

Since  $h^{-1}(-\epsilon, \epsilon) \cap (X - Z(g))$  is open in  $X$  and every point of  $Z(g)$   
 is an interior point of  $h^{-1}(-\epsilon, \epsilon)$ ,  $h^{-1}(-\epsilon, \epsilon)$  is open in  $X$ . #

Proposition 2.3 Let  $f, g \in C(X)$

- (a) If  $Z(f)$  is a neighborhood of  $Z(g)$ , then  $f$  is a multiple of  $g$ .  
 (b) There exists a positive unit  $u$  of  $C(X)$  such that  $(-1 \vee f) \wedge 1 = uf$ .

Proof (a) Let  $h(x) = \frac{f(x)}{g(x)}$  for  $x \notin \text{Int } Z(f)$ , and  $h(x) = 0$  for  
 $x \in Z(f)$ . Clearly  $h$  is a well defined function and when restricted to  
 $X - \text{Int } Z(f)$  or  $Z(f)$ , it is continuous. Thus  $h$  is continuous on whole  
 of  $X$ . It is now obvious that  $hg = f$ .

(b) Define a function  $u: X \rightarrow \mathbb{R}$  such that  $u(x) = 1$  for  $x \in f^{-1}[-1, 1]$ ,  
 and  $u(x) = \frac{1}{|f(x)|}$  for  $x \in f^{-1}((-\infty, -1] \cup [1, \infty))$ . Clearly  $u$  is well  
 defined and is continuous on each of the closed sets  $f^{-1}[-1, 1]$  and  
 $f^{-1}((-\infty, -1] \cup [1, \infty))$ . Since  $Z(u) = \emptyset$ ,  $u$  is invertible. It is now  
 plain that  $(-1 \vee f) \wedge 1 = uf$ . #

We give some definitions

Definitions 2.1 (a) By  $(\quad, f_\alpha, \quad)$ ,  $\alpha \in \Lambda$ , where  $\Lambda$  is some  
 indexing set, we mean the smallest ideal  $I$  containing  $f_\alpha$  for each  
 $\alpha \in \Lambda$  and say that the ideal  $I$  is generated by  $\{f_\alpha \mid \alpha \in \Lambda\}$ .

$$(b) \quad Z(I) = \{Z(f) \mid f \in I\}$$

$$(c) \quad \bigcap Z(I) = \bigcap_{f \in I} Z(f)$$

The proof of following theorem can be found in [9]

Theorem 2 4 Every z-ideal in  $C(X)$  is an intersection of prime ideals

Definitions 2 2

$$(a) \quad O_A = \{f \in C(X) \mid A \subseteq \text{Int } Z(f)\}$$

$$(b) \quad M_A = \{f \in C(X) \mid A \subseteq Z(f)\}, \text{ where } A \text{ is a subset of } X$$

It is clear that  $O_A \subseteq M_A$ . Also, it is very simple to observe that  $O_A$  and  $M_A$  are z-ideals, and that  $O_A = \bigcap_{a \in A} O_a$  and  $M_A = \bigcap_{a \in A} M_a$ .

We will prove the following result

Theorem 2 5 z-ideal is an algebraic property

Proof Let  $I$  be a z-ideal. Given  $f \in C(X)$ , let there exist a  $g \in I$  such that  $f$  belongs to every maximal ideal containing  $g$ . Thus  $p \in Z(g) \implies g \in M_p \implies f \in M_p \implies p \in Z(f)$ . We have  $Z(g) \subseteq Z(f)$ . Since  $I$  is a z-ideal,  $f \in I$ . Next, let  $I$  be some ideal in  $C(X)$  and  $g \in I$ . Let there be an  $f \in C(X)$  such that  $Z(f) \supseteq Z(g)$ . Since a maximal ideal is a z-ideal,  $f$  belongs to every maximal ideal containing  $g$ . Hence if  $I$  has the property that, for given  $f \in C(X)$ , if there exists  $g \in I$  such that  $f$  belongs to every maximal ideal containing  $g$ , then  $f \in I$ , then we observe that  $I$  is a z-ideal.

We proved the following

$I$  is a z-ideal if and only if given  $f \in C(X)$ , if there exists  $g \in I$  such that  $f$  belongs to every maximal ideal containing  $g$ , then  $f \in I$ .

From this characterization of  $z$ -ideals it is clear that if  $C(X)$  is isomorphic to  $C(Y)$ , then image of a  $z$ -ideal will be a  $z$ -ideal #

We will not discuss the theory of Stone-Cech compactification and realcompactification for which we refer to [9] The following result is proved in [9]

Proposition 2 6  $X$  is open in  $\beta X$  if and only if  $X$  is locally compact where  $\beta X$  is the Stone-Cech compactification of  $X$

As an immediate corollary of this proposition, we get that  $\beta R - R$  is closed in  $\beta R$ , and hence  $\beta R - R$  is compact

Remark 2 1 It is simple to observe that if  $V$  is an open set in  $\beta X$  then  $\overline{V \cap X}^{\beta X} = \overline{V}^{\beta X}$

Some more definitions are in order

Definitions 2 2

- (a)  $O^A = \{f \in C(X) \mid A \subseteq \text{Int}_{\beta X} \overline{Z(f)}^{\beta X}\}$ , where  $A$  is a subset of  $X$
- (b)  $M^A = \{f \in C(X) \mid A \subseteq \overline{Z(f)}^{\beta X}\}$ , where  $A$  is a subset of  $X$
- (c)  $O_A^{\beta X} = \{f \in C(\beta X) \mid A \subseteq \text{Int}_{\beta X} Z_{\beta X}(f)\}$ , where  $A$  is a subset of  $\beta X$
- (d)  $M_A^{\beta X} = \{f \in C(\beta X) \mid A \subseteq Z_{\beta X}(f)\}$ , where  $A$  is a subset of  $\beta X$
- (e)  $\phi(I) = \{p \in X \mid M_p \supseteq I\} = \bigcap_{f \in I} Z(f) = \bigcap_{f \in I} Z(I)$ , for any ideal  $I$  in  $C(X)$
- (f)  $\theta(I) = \{p \in \beta X \mid M^p \supseteq I\} = \bigcap_{f \in I} \overline{Z(f)}^{\beta X}$ , for any ideal  $I$  in  $C(X)$

The following proposition is a direct consequence of above definitions

Proposition 2 7

- (a)  $O^A$  and  $M^A$  are  $z$ -ideals
- (b)  $O^A = \bigcap_{a \in A} O^a$  and  $M^A = \bigcap_{a \in A} M^a$
- (c)  $\phi(I) = X \cap \theta(I)$
- (d)  $O^{\theta(I)} \subseteq I$

Remark  $I$  need not contain  $O_{\phi(I)}$

For, let  $I = M^P \cap M_q$ , then  $\phi(I) = \{q\}$  and  $O_{\phi(I)} = O_q$ . Clearly  $I$  does not contain  $O_q$  as it is not contained in a unique maximal ideal. We here use some results of chapter 7 of [9].

We will require the following results in our study

- Proposition 2 8
- (a) If  $X$  is dense in  $T$ , then the family of all sets  $\bar{Z}^T$ , for  $Z \in Z(X)$ , is a base for the closed sets in  $T$
  - (b) Every zero-set in  $\beta X$  is a countable intersection of sets of the form  $\bar{Z}^{\beta X}$ , for  $Z \in Z(X)$ , the collection of all zero-sets in  $X$
  - (c)  $X$  is pseudocompact if and only if every nonempty zero-set in  $\beta X$  meets  $X$
  - (d)  $X$  is pseudocompact if and only if  $\beta X = \nu X$ , where  $\nu X$  is the realcompactification of  $X$

Proof (a) Let  $F$  be a closed set in  $T$  and  $x \notin F$ , where  $x \in T$ . Since  $T$  is completely regular, there exists a function  $f \in C(T)$  such that  $f(F) = 0$  and  $f(x) = 1$ . Consider a function  $g \in C(T)$  such that  $Z_T(g) = f^{-1}[0, \frac{1}{2}]$  (Proposition 1 2 2(a)). It is clear that  $Z_T(g)$  is a neighborhood of  $F$ . Let  $\underline{g} = g/X$ . From remark 1 2 1 it is clear that

$\overline{Z_X(\underline{g})}^T$  is a neighborhood of  $F$  since  $Z_X(\underline{g}) = Z_T(g) \cap X$ . Further, since  $\overline{Z_X(\underline{g})}^T \subsetneq Z_T(g)$ ,  $x \notin \overline{Z_X(\underline{g})}^T$  and the proposition is proved. #

(b) Let  $f \in C(\beta X)$ . Choose  $f_n \in C(\beta X)$  such that  $Z_{\beta X}(f_n) = f^{-1}[0, \frac{1}{n}]$

(Proposition 1.2.2), for each  $n \in \mathbb{N}$ . Since  $Z_{\beta X}(f_n)$  is a neighborhood of  $Z_{\beta X}(f)$ ,  $Z_{\beta X}(f_n) \cap X \neq \emptyset$ . Let  $f'_n = f_n|_X$ . Clearly  $Z_X(f'_n) = Z_{\beta X}(f_n) \cap X$ . Moreover,  $\overline{Z_X(f'_n)}^{\beta X}$  is a neighborhood of  $Z(f)$  as is clear from remark 1.2.1. It is now clear that  $\bigcap_{n=1}^{\infty} \overline{Z_X(f'_n)}^{\beta X} = Z(f)$ .

(c) Let  $X$  be pseudocompact and  $f \in C(\beta X)$  such that  $Z_{\beta X}(f) \cap X = \emptyset$ . If  $\underline{f} = f|_X$ , then since  $f^{-1}[0, \varepsilon)$  intersects  $X$ ,  $\underline{f}$  attains values in every neighborhood of zero. As  $Z_{\beta X}(f) \cap X = \emptyset$ ,  $Z_X(\underline{f}) = \emptyset$ . Thus  $\frac{1}{\underline{f}}$  exists and is unbounded on  $X$ , a contradiction. Conversely, let  $X$  be not pseudocompact. Let  $f \in C(X)$  be unbounded. Thus,  $|f|$  will be unbounded, and hence  $\frac{1}{1 \vee |f|}$  will be bounded and continuous on  $X$ . Also,  $\frac{1}{1 \vee |f|}$  will attain values in every neighborhood of zero and will never vanish in  $X$ . Let  $h$  be the continuous extension of  $\frac{1}{1 \vee |f|}$  to  $\beta X$ . Clearly  $h$  assumes value zero at some points of  $\beta X$ . Hence  $Z_{\beta X}(h) \neq \emptyset$  and  $Z_{\beta X}(h) \cap X = \emptyset$ , a contradiction.

(d) Trivial. #

Proposition 2.9 Let  $A$  be a closed set in  $\beta X$ . We have

$$A = \bigcap_{g \in O_A} \text{Int } \overline{Z(g)}^{\beta X}$$

Proof Clearly  $A \subseteq \bigcap_{g \in O_A} \text{Int}_{\beta X} \overline{Z(g)}^{\beta X}$

Next, let  $p \in \bigcap_{g \in O_A} \text{Int}_{\beta X} \overline{Z(g)}^{\beta X}$  and  $p \notin A$ . Since  $A$  is closed in  $\beta X$ , by proposition (1.2.2) we get  $\tilde{g}$  in  $C(\beta X)$  such that  $Z_{\beta X}(\tilde{g})$  is a

neighborhood of  $A$  and  $p \notin Z_{\beta X}(\tilde{g})$ , and hence, we get a function  $g \in C(X)$  with  $g \in 0^A$  and  $p \notin \overline{Z(g)}^{\beta X}$ . Thus  $p \notin \text{Int}_{\beta X} \overline{Z(g)}^{\beta X}$  for one  $g \in 0^A$ . This is a contradiction to  $p \in \bigcap_{g \in 0^A} \text{Int}_{\beta X} \overline{Z(g)}^{\beta X}$ . #

The proof of the following theorem can be found in [9]

Theorem 2 10 In any space, the union of a compact set with a realcompact set is realcompact

Proposition 2 11 In  $P$ ,  $Z$  does not have a countable base of neighborhoods

Proof Let  $\{U_1, U_2, \dots\}$  be a decreasing sequence of neighborhoods of  $Z$  in  $R$ . Let  $U_{p_1}$  be the first element of the sequence such that  $U_1 \supseteq U_{p_1}$  and the open interval in  $U_{p_1}$  containing 1 is strictly contained in the open interval in  $U_1$  containing 1. Let us call the latter property by " $U_1 \not\supseteq U_{p_1}$  at 1". Now, get the first  $U_{p_2}$  in the sequence such that  $U_{p_1} \not\supseteq U_{p_2}$  at 2. Thus, continuing like this, we will get a subsequence  $\{U_1, U_{p_1}, U_{p_2}, \dots\}$  of the original sequence of neighborhoods of  $Z$ .

Choose  $q_1 \in U_1$  such that  $q_1 \notin U_{p_1}$  and  $q_1 \in$  the open interval in  $U_1$  containing 1. Next, choose  $q_2 \in U_{p_1}$  such that  $q_2 \notin U_{p_2}$  and  $q_2 \in$  the open interval in  $U_{p_1}$  containing 2. This way we will get a closed sequence  $\{q_1, q_2, \dots\}$  such that no  $q_i$  is an integer.

Clearly no  $U_{p_1}$  is contained in the open set  $R - \{q_1, q_2, \dots\}$  containing  $Z$ . Thus no  $U_i$  will be contained in this neighborhood of  $Z$ . #

Proposition 2 12 A free ultrafilter cannot have a countable base



**Proof** If  $\{U_n\}_{n \in \mathbb{N}}$  is a countable base for a free filter  $F$ , then we can inductively pick two points from each  $U_n$  so as to obtain two disjoint sets, each of which meets every  $U_n$ , a contradiction to the fact that  $F$  is a filter

Another proof of this proposition will be given in example(2 5 3) in the next chapter

By example (2 5 3) we mean third example in section 5 of 2nd chapter. Same rule will be followed for theorems, propositions, corollaries and remarks. The theorems, propositions and corollaries in a section are numbered together.

In the last we prove

**Proposition 2 13** (a)  $X$  is a  $P$ -space if and only if  $M^p = O^p$  for all  $p \in \beta X$

(b) A pseudocompact  $P$ -space is finite

**Proof** (a) Since a space  $X$  is a  $P$ -space if and only if  $M_p = O_p$  for all  $p \in X$  and since  $M^p = M_p$  for all  $p \in X$ , the converse is clear. If  $X$  is a  $P$ -space, then to prove that  $M^p = O^p$  for all  $p \in \beta X$ , it is sufficient to show that  $M^p \subset O^p$  for each  $p \in \beta X$ . Let  $f \in M^p$ . Thus  $p \in \overline{Z(f)}^{\beta X}$ . Since  $Z(f)$  is a  $G_\delta$  in  $X$ , it is open in  $X$  as  $X$  is a  $P$ -space.  $X - Z(f)$ , being open and closed both is zero-set in  $X$ . By the characteristic property of  $\beta X$ ,  $\overline{(X - Z(f))}^{\beta X} \cap \overline{Z(f)}^{\beta X} = \emptyset = \overline{(X - Z(f))}^{\beta X} \cap \overline{Z(f)}^{\beta X}$ . Since  $\overline{(X - Z(f))}^{\beta X} \cup \overline{Z(f)}^{\beta X} = \overline{(X - Z(f)) \cup Z(f)}^{\beta X} = \overline{\beta X}^{\beta X} = \beta X$ ,  $\overline{Z(f)}^{\beta X}$  is open as well as closed in  $\beta X$ . We get that  $\text{Int}_{\beta X} \overline{Z(f)}^{\beta X} = \overline{Z(f)}^{\beta X}$ , and hence  $f \in O^p$ .

(b) It is plain that  $X$  is a  $P$ -space if and only if  $\beta X$  is a  $P$ -space. But a compact  $P$ -space is discrete and hence it is finite. We get that  $\beta X$  is finite, which in turn gives that  $X$  is finite. #

Let  $F$  be a subset of a space  $X$ . Then, by  $X/F$  we mean the quotient space of  $X$  obtained by identifying  $F$  with a point. Thus if  $\tau: X \rightarrow X/F$  is the quotient map, a set  $G \subseteq X/F$  is open in  $X/F$  if and only if  $\tau^{-1}(G)$  is open in  $X$ .

## CHAPTER 2

### WEAK PERFECT NORMALITY

This chapter is mostly devoted to the study of weakly perfectly normal spaces. We prove some topological properties of such spaces and discuss their relationships with the separation axioms. The spaces considered in the first five sections are not completely regular unless otherwise mentioned. From the sixth section onwards all the spaces considered will be completely regular and hence weakly perfectly normal spaces coincide with  $G_\delta$ -spaces defined by Anderson [1] after the fifth section. In the last section we present some results for point zero-sets proved by Kohls [13] which will be needed in the last chapter.

#### §1 Definition and examples

Definition 1.1 A space  $X$  is weakly perfectly normal (wpn) if every point of  $X$  is a zero-set.

Example 1.1 Every metric space is wpn. In fact, every metric space is  $T_1$ , perfectly normal, and we prove the following.

Proposition 1.1 A space  $X$  is perfectly normal iff every closed set in  $X$  is a zero-set.

Proof  $X$  is perfectly normal  $\iff X$  is normal and every closed set is a  $G_\delta$  in  $X \iff$  every closed set is a zero-set by proposition (1.2.1).

Since a space is  $T_1$  iff every point in the space is closed, a  $T_1$  perfectly normal space is weakly perfectly normal. Since non- $T_1$

perfectly normal spaces are not of much interest, we give the name weakly perfectly normal to the space in which every point is a zero set as this property is implied by  $T_1$ , perfect normality

Example 1.2 We give an example of a wpn space which is not regular

Let  $S$  denote the subspace of  $\mathbb{R} \times \mathbb{R}$  obtained by deleting  $(0,0)$  and all points  $(\frac{1}{n}, y)$  with  $y \neq 0$  and  $n \in \mathbb{N}$ . Define  $\pi(x,y) = x$  for all  $(x,y) \in S$ , then  $\pi$  is a continuous mapping of  $S$  onto  $\mathbb{R}$ . Let  $E$  denote the quotient space of  $S$  associated with the mapping  $\pi$ . Thus, a set  $A \subset E$  is open in  $E$  if and only if  $\pi^{-1}(A)$  is open in  $S$ .

(a)  $E$  is wpn. It is sufficient to prove that  $C(\mathbb{R}) \subset C(E)$ . But this fact is obvious as  $E$  has stronger topology than  $\mathbb{R}$ . (See also corollary 2.2.3)

(b)  $E$  is not regular. Since  $\pi^{-1}(\mathbb{R} - \{\frac{1}{n}\}_{n \in \mathbb{N}}) = S - \{(\frac{1}{n}, 0)\}_{n \in \mathbb{N}}$ , which is clearly open in  $S$ , we get that  $P = \{\frac{1}{n}\}_{n \in \mathbb{N}}$  is open in  $E$ . Thus  $\{\frac{1}{n}\}_{n \in \mathbb{N}}$  is closed in  $E$ . Since the point  $0$  in  $E$  has the usual neighborhood system in  $E$ , we can not separate  $0$  and  $\{\frac{1}{n}\}_{n \in \mathbb{N}}$  by disjoint open sets containing them.

Proposition 1.2 A wpn space is functionally Hausdorff. Thus it is Hausdorff and  $T_1$ .

Proof Let  $x, y \in X$  where  $X$  is wpn. There exist  $f_x, f_y \in C(X)$  such that  $Z(f_x) = \{x\}$  and  $Z(f_y) = \{y\}$ . Consider the function

$f = \frac{|f_x|}{|f_x| + |f_y|}$  Since  $Z(|f_x| + |f_y|) = \emptyset$ ,  $f$  is well defined

continuous function. Clearly  $f(x) = 0$  and  $f(y) = 1$ . Thus  $X$  is functionally Hausdorff.

Example 1.3 If  $X$  is non-compact completely regular, Hausdorff, we know that no point of  $\beta X - X$  is a  $G_\delta$ . Since  $\beta X$  is compact Hausdorff, it is normal and hence functionally Hausdorff. Thus if  $X$  is non-compact, CR,  $T_2$  space then  $\beta X$  is an example of a functionally Hausdorff space which is not wpn as every zero-set must be  $G_\delta$ . In particular, since  $\beta \mathbb{R} - \mathbb{R}$  is compact Hausdorff (Proposition 1.2.6)  $\beta \mathbb{R} - \mathbb{R}$  is an example of a functionally Hausdorff space which is not wpn. In fact no point of  $\beta \mathbb{R} - \mathbb{R}$  is a  $G_\delta$ . Later we shall give an example of a functionally Hausdorff space in which a  $G_\delta$  point is not a zero-set.

Remark 1.1  $\beta X$  is wpn if and only if  $X$  is compact and wpn.

Example 1.4 A countable wpn space need not be first countable.

The space  $\Sigma$ . Let  $\mathcal{U}$  be a free ultrafilter on  $\mathbb{N}$  (e.g., the collection of all the subsets of  $\mathbb{N}$  whose complements are finite is contained in a free ultra-filter on  $\mathbb{N}$ ).

Let  $\Sigma = \mathbb{N} \cup \{\sigma\}$  (where  $\sigma \notin \mathbb{N}$ )

Define a topology on  $\Sigma$  as follows. All points of  $\mathbb{N}$  are isolated, and the neighborhoods of  $\sigma$  are the set  $U \cup \{\sigma\}$  for  $U \in \mathcal{U}$ .

It is clear that  $\Sigma$  is Hausdorff. Since complement of any subset of  $\Sigma$  containing  $\sigma$  is a subset of  $\mathbb{N}$ , such a subset will be

open. Thus, any subset of  $\sigma$  containing  $\sigma$  is closed and if it does not contain  $\sigma$ , it will be open. Let  $F$  be a closed set in  $\Sigma$ . If  $\sigma \notin F$ , then  $F$  will be open and hence will be a zero-set. If  $\sigma \in F$ , then  $\Sigma - F \subseteq N$ , and define  $f: \Sigma \rightarrow \mathbb{R}$  such that  $f(n) = \frac{1}{n}$  if  $n \in \Sigma - F$  and  $f(p) = 0$  for each  $p \in F$ . Clearly  $f$  is continuous and  $Z(f) = F$ . Thus every closed subset of  $\Sigma$  is a zero set. Since points are closed in  $\Sigma$ ,  $\Sigma$  is wpn.

Since a free ultrafilter cannot have a countable base (1.2.12) the point  $\sigma$  does not have a countable base of neighborhoods. Hence  $\Sigma$  is not metrizable.

The next example of wpn space which is not first countable is very important for us. We will use this example at several places.

Example 1.5 We have observed in chapter 1 (1.2.13) that  $Z$  does not have a countable base of neighborhoods in  $\mathbb{R}$ . If we identify  $Z$  with the point zero in  $\mathbb{R}$  and denote the resulting space with quotient topology by  $\mathbb{R}/Z$ , then clearly the point 0 in  $\mathbb{R}/Z$ , determined by  $Z$  will not have a countable base of neighborhoods. But since  $Z$  is a zero set in  $\mathbb{R}$ , the point 0 is a zero-set in  $\mathbb{R}/Z$ . Any other point of  $\mathbb{R}/Z$  is also a zero-set as is clearly seen. Thus  $\mathbb{R}/Z$  is a wpn space which is not first countable.

## §2 Various images of a wpn space

Proposition 2.1 Let  $X$  be a wpn space and  $f: X \rightarrow Y$  be a one-one open map. Then  $f(X)$  is wpn.

**Proof** Let  $g_x: X \rightarrow R$  be a continuous function such that  $Z(g_x) = \{x\}$ , for each  $x \in X$ . Define  $h_{f(x)}: f(X) \rightarrow R$  such that  $h_{f(x)}(y) = g_x(f^{-1}(y))$ . Since  $f$  is open, clearly  $h_{f(x)}$  is continuous. Next,  $Z(h_{f(x)}) = Z(g_x \circ f^{-1}) = \{f(x)\}$ . The proposition is clear. #

Since a one-one closed map is open, we have the following

Corollary 2.2 One-one closed image of a wpn space is wpn. #

Corollary 2.3 Stronger topology than a wpn topology is wpn. #

Also, since the inverse of a one-one onto continuous map is open, we have

Corollary 2.4 Let  $f: X \rightarrow Y$  be one-one continuous and  $Y$  be wpn. Then  $X$  is wpn. #

The proof of this corollary is clear from the following

Corollary 2.5 Weak perfect normality is hereditary

**Proof** Restriction of a continuous function to a subspace is continuous. #

The quotient  $R/\sim$  of  $R$  by the relation  $x \sim y$  iff  $x-y$  is rational with the quotient topology is indiscrete. Since the projection  $P: R \rightarrow R/\sim$  is open, we observe that open continuous image of a metric space need not be wpn. The example  $R/Z$  of section 1 shows that even if the quotient of a metric space is wpn, it need not be first countable. Since  $R/Z$  is quotient of the metric space  $R$ , it is sequential, i.e., a subset  $U$  of  $R/Z$  is open if and only if each sequence in  $R/Z$  converging to a point

in  $U$  is eventually in  $U$  (Franklin [7]) Thus we get an example of a sequential wpn space which is not first countable A little stronger counter example can also be obtained A Frechet space ( $i.e.$ , closure of any subset  $A$  of such a space is the set of limits of sequences in  $A$ ) is a sequential space and the space  $\Sigma$  of section 1 is an example of a Frechet space which is wpn but not first countable We need only to prove that  $\Sigma$  is Frechet

Let  $A$  be a set in  $\Sigma$  If  $\sigma \in A$ , then  $A$  is closed Let  $\sigma \notin A$  Then since  $U$  is an ultrafilter, either  $A \in U$  or  $X-A \in U$  If  $X-A \in U$ ,  $\sigma \notin \bar{A}$  and  $A = \bar{A}$  If  $A \in U$ , then  $A$  is not closed and  $\bar{A} = A \cup \{\sigma\}$  In this case, since  $A$  is infinite, it is an infinite sequence converging to  $\sigma$  Thus  $\bar{A}$  = set of limits of sequences in  $A$ , and hence  $\Sigma$  is Frechet

We have proved the following

Proposition 2 6 A wpn Frechet space need not be first countable #

Since a Hausdorff space is a  $k$ -space if and only if it is a quotient space of a locally compact space, the space  $R/Z$  reflects the following

Proposition 2 7 A wpn  $k$ -space need not be first countable #

Continuing the discussion about the images of a wpn space, we now give an example to show that a closed continuous image of a wpn space need not be wpn

Example 2 1 It is easily seen that  $W$  is wpn Identifying all the limit ordinals (which form a closed set in  $W$ ) in  $W$  and consider



the quotient topology induced by  $W$  on this set. Let  $W_e$  denote this space. Clearly this quotient map is closed. The point in  $W_e$  determined by the set of all limit ordinals cannot be a zero-set because otherwise, the set of all limit ordinals will be a zero-set in  $W$ , which is a contradiction to the fact that this set is not a  $G_\delta$  in  $W$  (any continuous function on  $W$  is constant on a tail).

### §3 Disjoint sum and product

Proposition 3 1 Disjoint sum of wpn spaces is wpn

Proof Let  $X_\alpha$  be a wpn space and let  $X_\alpha^* = \{(x, \alpha) | x \in X_\alpha\}$  be a homeomorphic copy of  $X_\alpha$ , for each  $\alpha \in A$ . The disjoint sum of the spaces  $X_\alpha$  ( $\alpha \in A$ ) is the space  $\sum_{\alpha \in A} X_\alpha$  with the underlying set  $\bigcup_{\alpha \in A} X_\alpha^*$  and the topology  $U \subset \sum_{\alpha \in A} X_\alpha$  is open if and only if  $U \cap X_\alpha^*$  is open for each  $\alpha \in A$ . Then clearly the function  $\sum_{\alpha \in A} f_\alpha$  defined on  $\sum_{\alpha \in A} X_\alpha$  such that  $\sum_{\alpha \in A} f_\alpha|_{X_\alpha^*} = f_\alpha$  is continuous if and only if each  $f_\alpha$  is continuous.

Let  $(x, \alpha) \in \sum_{\alpha \in A} X_\alpha$ . We have to show that  $(x, \alpha)$  is a zero-set. Since  $(x, \alpha) \in X_\alpha^*$ , which is homeomorphic to  $X_\alpha$ ,  $(x, \alpha)$  is a zero-set in  $X_\alpha^*$ . Let  $f_{\alpha x} \in C(X_\alpha^*)$  such that  $Z(f_{\alpha x}) = \{(x, \alpha)\}$ . Define  $\sum_{\alpha \in A} f_\alpha$  on  $\sum_{\alpha \in A} X_\alpha$  such that  $\sum_{\alpha \in A} f_\alpha|_{X_\beta^*} = 1$  on  $X_\beta$  if  $\beta \neq \alpha$ , and  $\sum_{\alpha \in A} f_\alpha|_{X_\alpha^*} = f_{\alpha x}$ . Then  $\sum_{\alpha \in A} f_\alpha$  is continuous and  $Z(\sum_{\alpha \in A} f_\alpha) = \{(x, \alpha)\}$ .

Proposition 3 2 Let  $\{X_\alpha\}_{\alpha \in A}$  be a family of wpn spaces. Then  $\prod_{\alpha \in A} X_\alpha$

is wpn if and only if each  $X_\alpha$  is wpn and, excepting for countably many  $\alpha \in A$ , each  $X_\alpha$  is singleton.

Proof Let  $\prod_{\alpha \in A} X_\alpha$  be wpn. Hereditary property of weak perfect normality implies the weak perfect normality of  $X_\alpha$ , for each  $\alpha \in A$ . The next fact follows by observing that the elements in a base of topology on  $\prod_{\alpha \in A} X_\alpha$  have proper subsets of  $X_\alpha$  as fibers only for finitely many indices and hence any  $G_\delta$ -set in  $\prod_{\alpha \in A} X_\alpha$  will have proper subsets as fibers for at the most countably many indices.

Conversely, let each  $X_\alpha$  be singleton, for  $\alpha \notin B$ , where  $B$  is a countable subset of  $A$ . Without loss of generality we can assume  $B = \mathbb{N}$ . Let  $f_n \in C(X_n)$ . Define  $\tilde{f}_n$  to be the function  $|f_n| \circ P_n$ , where  $P_n$  is the  $n$ th projection from  $\prod_{\alpha \in A} X_\alpha$  to  $X_n$ , for each  $n \in \mathbb{N}$ . Clearly  $\tilde{f}_n \in C(\prod_{\alpha \in A} X_\alpha)$  whenever  $f_n \in C(X_n)$ , for each  $n \in \mathbb{N}$ . To show that  $(x_\alpha)_{\alpha \in A} \in \prod_{\alpha \in A} X_\alpha$  is a zero-set, choose  $f_n \in C(X_n)$  such that  $Z(f_n) = \{x_n\} \subset X_n$ , for each  $n \in \mathbb{N}$ . Now  $\sum_{n \in \mathbb{N}} 2^{-n} \tilde{f}_n$  is a uniformly convergent series of continuous functions ( $\in C(\prod_{\alpha \in A} X_\alpha)$ ), hence is continuous on  $\prod_{\alpha \in A} X_\alpha$ . Also  $Z(\sum_{n \in \mathbb{N}} 2^{-n} \tilde{f}_n) = \{(x_\alpha)_{\alpha \in A}\}$ . #

#### §4 Covering properties

Proposition 4.1 A first countable completely regular Hausdorff space is weakly perfectly normal.

Proof Let  $X$  be a first countable completely regular Hausdorff space and  $p \in X$ . Let  $\{U_1\}_{1 \in \mathbb{N}}$  be a base of neighborhoods for the point  $p$ . Since the space is Hausdorff,  $p = \bigcap_{1=1}^{\infty} U_1$ . Thus  $p$  is a  $G_\delta$  in  $X$ , which is a completely regular space. By proposition 2.5.1 we get that  $p$  is a zero-set. #

Question 1 Can we replace complete regularity by functionally Hausdorffness in the above proposition ?

The converse of above proposition is not true as is shown by examples 2.1.4 and 2.1.5 In the following proposition we discuss sufficient conditions on a wpn space to imply first countability In fact, we shall study covering properties of a wpn space A similar proposition for pseudo-compact spaces will be proved in the fourth chapter (Corollary (4.1.3))

Proposition 4.2 In a countably compact space  $X$  every closed regular  $G_\delta$  (hence a zero-set) has a countable base of neighborhoods

Proof Let  $A$  be a closed regular  $G_\delta$  set in  $X$  So  $A = \bigcap_{i=1}^{\infty} U_i$   
 $= \bigcap_{i=1}^{\infty} \bar{U}_i$  where  $U_i$  is open in  $X$ , for every  $i \in \mathbb{N}$

Claim  $\{W_p = \bigcap_{j \leq p} U_j\}_{p \in \mathbb{N}}$  is a base of neighborhoods for  $A$

For, let  $V$  be an open set containing the set  $A$  Then  $\{V, \bar{W}_p^C\}_{p \in \mathbb{N}}$  forms an open countable covering of  $X$  Since  $X$  is countably compact, this covering has a finite subcover, say  $\{V, \bar{W}_{p_1}^C, \bar{W}_{p_2}^C, \dots, \bar{W}_{p_n}^C\}$  Let  $p_1 = \max\{p_1, \dots, p_n\}$  Then clearly, since  $W_{p_1+1} \subset W_{p_1}$ ,  $\bar{W}_{p_j}^C$  does not intersect  $W_{p_1+1}$  for any  $j$  with  $1 \leq j \leq n$  Thus  $W_{p_1+1} \subset V$  #

Corollary 4.3 In a countably compact normal space every closed  $G_\delta$  has a countable base of neighborhoods #

Corollary 4.4 In a compact Hausdorff space every closed  $G_\delta$  has a countable base of neighborhoods #

Corollary 4 5 A countably compact wpn space is first countable

Thus a compact wpn space is first countable #

Corollary 4 6 In a countably compact regular space every  $G_\delta$ -point has a countable base of nbhds #

Proposition 4 7 A locally compact wpn space  $X$  is first countable

Proof Let  $x \in X$  be such that  $x \in U \subset C$  where  $C$  is compact and  $U$  is open in  $X$ . Since wpn is hereditary,  $C$  is also wpn. Thus by corollary 2 4 4 of the above proposition,  $C$  is first countable. Let  $\{V_i\}_{i \in \mathbb{N}}$  be a countable base for the point  $x$  in  $C$ . Since  $C$  is a subspace of  $X$ ,  $V_i = W_i \cap C \ \forall i \in \mathbb{N}$ , where  $W_i$  is some open set in  $X$ . Since  $U \subset C$ ,  $U_i = W_i \cap U \subset W_i \cap C$ . Thus, since  $U$  is open in  $X$ ,  $U_i$  will be open in  $X$  and  $U_i \subset V_i \ \forall i \in \mathbb{N}$ . Clearly  $\{U_i\}_{i \in \mathbb{N}}$  is a countable base of neighborhoods for the point  $x$  in  $X$ . #

We sum up the above propositions and corollaries to get

Theorem 4 8 A wpn space with any of the following properties is first countable

- (1) Countable compactness
- (2) Sequential compactness
- (3) Compactness
- (4) Local compactness
- (5) Completely regular and pseudocompactness
- (6)  $M$ -compactness

The proof of (5) will be given in the fourth chapter and (6) follows from (1). Also, it is interesting to note that a paracompact

wpn space need not be first countable. In fact  $\mathbb{P}/\mathbb{I}$  is wpn, paracompact but is not first countable as was pointed out in Example 2.1.5

## §5 $G_\delta$ -spaces

F. W. Anderson [1] studied the class of topological spaces in which every point is a  $G_\delta$ . He calls such spaces  $G_\delta$  spaces. The purpose of this section is to compare the  $G_\delta$  spaces with wpn spaces. In view of proposition (1.2.1) it is clear that a wpn space is a  $G_\delta$ -space. The converse is true in a completely regular space. This is proved in the following proposition. It is easy to observe that a  $G_\delta$  space is always  $T_1$  but need not be  $T_2$ .

Example 5.1 Let  $X$  be any countable set with cofinite topology. Clearly  $X$  is a non-Hausdorff compact  $G_\delta$ -space which is connected also. Since  $X$  is countable and connected, the only real valued continuous functions on  $X$  are constants.

Below we shall give an example (viz. example 2.5.2) of a functionally Hausdorff  $G_\delta$  space which is not wpn.

Proposition 5.1 A completely regular  $G_\delta$ -space  $X$  is wpn.

Proof Let  $p \in X$ . Then  $p = \bigcap_{i=1}^{\infty} U_i$  where each  $U_i$  is open in  $X$ . Since  $p \notin X - U_i$  and  $X$  is completely regular, there exists a zero-set  $Z(f_i)$  such that  $p \in Z(f_i) \subset U_i$ . Thus  $p = \bigcap_{i=1}^{\infty} Z(f_i)$  and hence a zero-set, being a countable intersection of zero-sets. #

The space  $\Gamma/\mathbb{Q}$  in the following example is functionally Hausdorff  $G_\delta$ -space which is not wpn.

Example 5.2 The space  $\Gamma$  Let  $\Gamma$  denote the subset  $\{(x,y) \mid y \geq 0\}$  of  $\mathbb{R} \times \mathbb{R}$ , with the following topology The neighborhood system for any  $(x,y)$ ,  $y > 0$ , is the same as in  $\mathbb{P} \times \mathbb{R}$  with the usual product topology For each  $x \in \mathbb{R}$  the point  $(x,0)$  has neighborhoods  $V_r(x,0) = \{(x,0)\} \cup \{(u,v) \in \Gamma \mid (u-x)^2 + (v-r)^2 < r^2\}$ , for  $r > 0$ , together with the neighborhoods coming from the usual product topology on  $\mathbb{R} \times \mathbb{R}$

It is clear that  $\Gamma$  is first countable The subspace  $D = \{(x,0) \mid x \in \mathbb{R}\}$  of  $\Gamma$  is discrete Consider  $f: \Gamma \rightarrow \mathbb{R}_+$  such that  $f(x,t) = t$  Clearly  $f$  is continuous and  $Z(f) = D$  Thus  $D$  is a zero-set Next we prove that  $\Gamma$  is completely regular Let  $F$  be a closed set in  $\Gamma$  and  $x \notin F$  Since  $\mathbb{R} \times \mathbb{R}$  with the product topology is completely regular, only case to be considered is that  $x \in D$  and  $F = \Gamma - V_r(x)$

Let  $B_r$  be the boundary of  $V_r(x)$  Define a function  $f$  such that  $f(x) = 0$  and  $f(B_r) = 1$  Let  $y \in B_r$  Define  $f$  linearly on the segment determined by the points  $x$  and  $y$  Also define  $f(\Gamma - V_r(x)) = 1$  Consider the neighborhood  $[0, \varepsilon)$  of  $0$  in  $\mathbb{R}_+$  Clearly  $f^{-1}[0, \varepsilon) = V_{r_1}(x)$  Similarly inverse images of other open sets of  $\mathbb{R}_+$  are also open and we get  $f \in C(\Gamma)$ , which separates  $x$  and  $F$  Hence  $\Gamma$  is completely regular

Let  $Q$  denote the set of rational numbers in the sub-space  $D$  Clearly  $Q$  is closed in  $\Gamma$  Let  $f$  be a positive continuous functions which vanishes on  $Q$  Due to continuity of  $f$ , it is clear that  $f$

will go on decreasing on the line  $\{(1,x) \mid x \in \mathbb{R}^1 \text{ for any } 1 \in P, x \neq 0\}$ , and hence in particular it goes on decreasing on any line above an irrational point of  $D$ . We get that  $f$  should vanish on  $(1,0)$  for each irrational number  $1 \in \mathbb{R}$ . Thus  $Q$  can not be a zero-set in  $\Gamma$ . Clearly  $\Gamma/Q$  is a functionally Hausdorff space in which  $\bar{Q}$ , the point determined by  $Q$ , is a  $G_\delta$  but not a zero-set.

Since a  $G_\delta$  set is open in a  $P$ -space, a  $G_\delta$  space which is also a  $P$ -space is discrete. But a wpn  $F$ -space need not be discrete.

Example 5.3 The space  $\sum$  (Example 2.1.4) is an  $F$ -space.

For,  $n \in \mathbb{N}$ ,  $O_n = M_n$  is clear. Thus each  $O_n$  is maximal. We prove that  $O_\sigma$  is prime. Let  $fg \in O_\sigma$ .  $Z(fg) = Z(f) \cup Z(g)$  is a zero set neighborhood of  $\sigma$ , hence  $(Z(f) - \{\sigma\}) \cup (Z(g) - \{\sigma\}) \in \mathcal{U}$ . Hence either  $Z(f) - \{\sigma\}$  or  $Z(g) - \{\sigma\}$  belongs to  $\mathcal{U}$ , and we get that  $\sum$  is an  $F$ -space.

Since it is easy to see that a first countable  $F$ -space is discrete (Cor. 5.1.5), we get another proof of the fact that the point  $\sigma$  in the space  $\sum$  does not have a countable base of neighborhoods and hence that any free ultrafilter on  $N$  does not have a countable base. Since  $N$  does not play any role in obtaining these observations, we can prove that a free ultrafilter on any set  $S$  cannot have a countable base by using the above technique. Observe that the space constructed from  $S$  analogous to  $\sum$  will fail to be wpn unless  $S$  is countable.

# §6 Characterization of weak perfect normality in $C(X)$ and some known results

To carry out our study via  $C(X)$ , we always assume  $X$  to be completely regular because for any space  $X$ , there exists a completely regular space  $Y$  such that  $C(X)$  and  $C(Y)$  are isomorphic. We shall call such a space  $Y$ , the complete regularization of  $X$ .

Now onwards all the spaces considered will be completely regular. Thus Anderson's  $G_\delta$ -spaces and wpn spaces are one and the same hereafter.

We state the following well known results [9]

Proposition 6.1 Let  $X$  be completely regular. Then,

- (1)  $p \in X$  is a zero-set if and only if  $0_p \subset I \subset M_p$  with  $I$  countably generated.
- (2)  $p \in X$  has a countable base of neighborhoods if and only if  $0_p$  is countably generated.

Proof Corollaries of Lemma 3.1.1 and proposition 3.2.1 respectively.

Remark 6.1 In (1) above it is clear from the proof that, the term "countably generated" can be replaced by "principal". Since  $0_p$  finitely generated means  $p$  is isolated (Prop 3.2.6), in (2) the term "countably generated" can not be replaced by "finitely generated" or "principal".

The characterizations in the proposition are not algebraically invariant as is shown by the spaces  $\mathcal{U}$  and  $\mathcal{U}^*$ . In fact, in the category



of real compact spaces, the above characterizations are algebraically invariant and we show more strongly that if  $X$  is real-compact wpn and if  $C(X)$  is isomorphic to  $C(Y)$ , then not only that  $Y$  will be wpn but also  $Y$  will be homeomorphic to  $X$ . This fact is proved in fourth chapter

The above proposition motivates us to ask whether we can get a similar characterization for any zero-set of  $X$ ? The answer is affirmative and we prove this in the next chapter

We next prove

Lemma 6 2      Let  $p$  be a non-isolated zero-set in  $X$ . If  $Z \in Z(C(X - \{p\}))$ , then  $\bar{Z}^X \in Z(C(X))$

Proof      Clearly  $\bar{Z}^X = Z$  or  $Z \cup \{p\}$ . Let  $h \in C(X - \{p\})$  with  $Z(h) = Z$  and  $0 \leq h \leq 1$  on  $X - \{p\}$

Case 1       $\bar{Z}^X = Z \cup \{p\}$ . Since  $p$  is a zero-set in  $X$ ,  $\exists$  a non negative function  $f_1 \in C(X)$  such that  $Z(f_1) = \{p\}$ . Define a function  $g$  on  $X$  by  $g(x) = f_1(x) h(x)$  for  $x \neq p$  and  $g(p) = 0$ . Then  $g \in C(X)$  by proposition (1 2 2(b)), and also  $Z(g) = Z \cup \{p\}$

Case 2       $\bar{Z}^X = Z$ . By complete regularity, there exists a function  $f_2 \in C(X)$  such that  $f_2(Z) = 1$ ,  $f_2(p) = 0$  and  $0 \leq f_2 \leq 1$  on  $X$ . Hence if  $f_1$  is the function chose in case 1, we have  $f_1 + f_2 \geq 0$  on  $X$ . Thus if  $f_3 = 1 \wedge (f_1 + f_2)$ , we have  $f_3 = 1$  on  $Z$ ,  $Z(f_3) = \{p\}$  and  $0 \leq f_3 \leq 1$  on  $X$ . Finally, define a function  $k$  on  $X$  by  $k(x) = f_3(x) (1 - h(x))$  for  $x \neq p$  and  $k(p) = 0$ . Then  $k \in C(X)$ , by proposition (1 2 2(b)) &  $Z(1 - k) = Z$ . #

The above lemma enables us to define a mapping  $r: Z(C(X-\{p\})) \rightarrow Z(C(X))$  by  $r(Z) = \overline{Z}^X$  where  $Z \in Z(C(X-\{p\}))$

The following properties of  $r$  are obvious

- 1 If  $F$  is a zero-set in  $X$ , then  $r(F-\{p\})$  is either  $F-\{p\}$  or  $F$
- 2 If  $F$  is a zero-set of  $X-\{p\}$ , then  $r(F) - \{p\} = F$

We also have the following

- 3 If  $P$  is a prime  $z$ -filter on  $X$  contained properly in  $Z(M_p)$ , and  $Z \in P$ , then  $r(Z-\{p\}) = Z$

Proof  $r(Z-\{p\}) = Z-\{p\}$  or  $Z$  Also  $Z(O_p) \subseteq P \subsetneq Z(M_p) \implies p$  is non-isolated in  $Z$  Thus  $p \in \overline{Z-\{p\}}^X \subseteq Z$  #

Let  $\iota: X - \{p\} \rightarrow X$  be the inclusion. Let  $\phi$  denote the extension of  $\iota$  to the largest sub-space  $X_1$  of  $\beta(X-\{p\})$  to which it is extendable as a continuous function into  $X$

Claim  $\phi$  is closed

Let  $j$  be the inclusion function from  $X-\{p\}$  into  $\beta X$ . Since  $p$  is non-isolated,  $X-\{p\}$  is not compact. Consider the extension  $\hat{j}$  of  $j$  to  $\beta(X-\{p\})$ . It is easily seen that  $\hat{j}^{-1}(X) = X_1$ , and hence  $\phi = \hat{j}|_{X_1}$ . Let  $A$  be closed in  $X_1$  &  $B$  closed in  $\beta(X-\{p\})$  such that  $B \cap X_1 = A$ . Since  $B$  is closed in  $\beta(X-\{p\})$  it is compact, hence  $\hat{j}(B)$  is closed in  $\beta X$

$$\text{Now } \hat{j}(B) = \hat{j}((B-A) \cup A) = \hat{j}(B-A) \cup \hat{j}(A)$$

$$\begin{aligned} \text{Hence } \hat{j}(B) \cap X &= (\hat{j}(B-A) \cup \hat{j}(A)) \cap X \\ &= (\hat{j}(B-A) \cap X) \cup (\hat{j}(A) \cap X) \\ &= \emptyset \cup \hat{j}(A), \text{ because} \end{aligned}$$

$B-A \cap X_1 = \emptyset$  and  $X_1 = \hat{j}^{-1}(X)$  Thus  $\hat{j}(A)$  is closed in  $X$  But  $\hat{j}|_{X_1} = \phi$  Hence  $\phi$  is closed Also,  $X_1 - (X - \{p\})$  cannot be empty as  $\phi$  is closed,  $\phi$  on  $X - \{p\}$  is identity and  $p$  is non-isolated Thus  $\phi^{-1}(\{p\}) \neq \emptyset$

The facts developed above make the proof of the following result due to Kohls [13] routine

Theorem (Kohls) 6.3 Let  $p$  be a non-isolated zero-set in a space  $X$ , and let  $\phi$  and  $r$  be defined as above Then,

- (a) If  $\mathcal{W}$  is a prime  $z$ -filter on  $X - \{p\}$  converging to a point of  $\phi^{-1}(\{p\})$ , then  $r(\mathcal{W})$  is a prime  $z$ -filter on  $X$  contained properly in  $Z(M_p)$
- (b) If  $\mathcal{V}$  is a prime  $z$ -filter on  $X$  contained properly in  $Z(M_p)$ , then  $r^{-1}(\mathcal{V}) = \{\mathcal{V} - \{p\} \mid \mathcal{V} \in \mathcal{V}\}$ , and  $r^{-1}(\mathcal{V})$  is a prime  $z$ -filter on  $X - \{p\}$  converging to a point of  $\phi^{-1}(\{p\})$
- (c) The mapping  $r$  is one-to-one from the set of prime  $z$ -filters on  $X - \{p\}$  converging to points of  $\phi^{-1}(\{p\})$  onto the set of prime  $z$ -filters on  $X$  contained properly in  $Z(M_p)$
- (d) A prime  $z$ -filter  $\mathcal{W}$  on  $X - \{p\}$  converging to a point of  $\phi^{-1}(\{p\})$  is a  $z$ -ultrafilter if and only if  $r(\mathcal{W})$  is maximal in the class of (prime)  $z$ -filter on  $X$  contained properly in  $Z(M_p)$

We use part (d) to prove the next theorem which will be used in chapter 5 to get some information about prime ideals in  $C(X)$  for a first countable space  $X$

Theorem 6.4 For a non-isolated  $G_\delta$ -point  $p$ , the following are equivalent

- 1 In the class of prime  $z$ -ideals contained properly in  $M_p$ , there is exactly one maximal element  $Q$
- 2  $p$  is a point from  $\beta(X - \{p\})$
- 3  $X - \{p\}$  is  $C^*$  embedded in  $X$

**Proof** 1)  $\implies$  2) Part (d) of the last lemma implies that there exists only one  $z$ -ultrafilter on  $X - \{p\}$  converging to a point of  $\phi^{-1}(\{p\})$ . Since  $\phi^{-1}(\{p\}) \subset \beta(X - \{p\}) - (X - \{p\})$ ,  $\phi^{-1}(\{p\})$  is singleton. Thus  $\phi$  is one-to-one onto from  $X_1$  to  $X$ . Also  $\phi$  is closed and continuous. We have  $X_1$  homeomorphic to  $X$ . Thus we can consider that  $X \subset \beta(X - \{p\})$  as  $X_1 \subset \beta(X - \{p\})$ . 2)  $\implies$  1) We have  $X \subset \beta(X - \{p\})$ . Since  $X_1$  is largest subspace of  $\beta(X - \{p\})$  to which  $i$  can be continuously extended,  $p \in X_1 - (X - \{p\})$ . Thus 1)  $X - \{p\} \rightarrow X$  has extension  $i_X: X \rightarrow X$ , the identity map on  $X$ . Since a continuous function on  $\beta X$  has unique extension to  $X$ , the extension of  $i_X$  considered as the inclusion map from  $X$  to  $\beta X$ , to  $\beta X$  will be identity on  $\beta X$ . Thus it is not possible to extend the function  $i: X - \{p\} \rightarrow X$  beyond  $X$  otherwise the range will no more restrict to  $X$ . Thus  $\phi^{-1}(\{p\})$  is singleton and again part (d) of last lemma gives us the result. 2)  $\iff$  3) trivial.

#### § Miscellaneous Remarks

- 1 Completely normal + wpn  $\not\implies$  perfectly normal (e.g.  $W$ )
- 2 Completely regular + wpn  $\not\implies$  normal (e.g.  $\Gamma$ )

- 3 Since the known examples of regular spaces which are not completely regular fail to be wpn, it will be interesting to know if a regular wpn space is completely regular. Also, we do not have an example of a normal wpn space which is not completely normal.
- 4 We know that a minimal Hausdorff space which is also Urysohn is always compact. Thus a minimal Hausdorff wpn space is compact. It would also be of interest to study minimal wpn spaces.

## CHAPTER 3

### EXISTENCE OF COUNTABLY GENERATED IDEALS IN $C(X)$

The characterization of weak perfect normality and first countability show the existence of countably generated ideals in between  $O_p$  and  $M_p$ . Thus it is natural to study the conditions on a closed set  $A$  such that  $O_A$  is contained in a countably generated ideal or in particular  $O_A$  or  $M_A$  is countably generated. In the present chapter we identify these countably and finitely generated ideals and obtain very nice characterization for perfect normality. The countable and finite generation of  $O^A$  and  $M^A$  are also studied for  $A$  closed in  $\beta X$ . Some applications of the results obtained are given.

#### 1 Perfect Normality and normality

Lemma 1.1 Let  $X$  be a completely regular space. A closed set  $F$  in  $X$  is a zero-set in  $X$  if and only if there exists a countably generated ideal between  $O_F$  and  $M_F$ .

Proof Let  $F = Z(f)$  for some  $f \in C(X)$  and  $g \in O_F$ . Since  $Z(g)$  is a neighborhood of  $Z(f)$ , proposition (1.2.3(a)) implies that  $g$  is a multiple of  $f$  and hence  $g \in (f)$ , the principal ideal generated by  $f$ . Next,  $Z(f) = F \implies f \in M_F$ . We have  $O_F \subseteq (f) \subseteq M_F$ .

Conversely, let  $F$  be closed with  $O_F \subseteq I \subseteq M_F$  where  $I = (f_1, f_2, \dots)$ . It is easy to see that  $\bigcap_{f \in I} Z(f) = \bigcap_{i \in \mathbb{N}} Z(f_i)$ . Thus  $\bigcap Z(I) (= \bigcap_{f \in I} Z(f))$ , being countable intersection of zero-sets, is a zero-set.

$$\text{Claim } \bigcap Z(I) = \bigcap_{f \in O_F} Z(f) = \bigcap_{f \in M_F} Z(f) = F$$

$$\text{For, } \bigcap_{f \in O_F} Z(f) \supseteq \bigcap_{f \in I} Z(f) \supseteq \bigcap_{f \in M_F} Z(f) \supseteq F$$

is clear Let  $x \in \bigcap_{f \in O_F} Z(f)$  If  $x \notin F$ , then there exists  $h \in C(X)$  such that  $h(F) = 0$  and  $h(x) = 1$  We get a  $g \in C(X)$  with  $Z(g) = h^{-1}[0, \frac{1}{2}]$  and  $x \notin Z(g)$  from proposition (1 2 2(a)) This is a contradiction to  $g \in O_F$  Thus the claim is true Since  $\bigcap Z(I)$  is a zero-set,  $F$  is also a zero-set

Since a space  $X$  is perfectly normal if and only if every closed set in  $X$  is a zero-set (Proposition 2 1 1), we immediately have

Theorem 1 2 A space  $X$  is perfectly normal if and only if for each closed set  $F$  in  $X$  there exists a countably generated ideal between  $O_F$  and  $M_F$

Remark 1 1 As is clear from the proof of the lemma, the term "countably generated" can be replaced by "principal" in the lemma and the theorem It will be observed later that the principal ideal constructed in the above proof can not be  $M_A$  unless  $A$  is open (viz Theorem 3 2 4)

A characterization for normality can also be derived in a similar manner But the condition obtained on  $C(X)$  for a space to be normal seems to be artificial

Theorem 1 3 A space  $X$  is normal if and only if for any two disjoint closed sets  $A$  and  $B$  there exist two countably generated ideals  $I$  and  $J$  such that  $I \subseteq M_A$  and  $J \subseteq M_B$  with  $(M_{\bigcap Z(I)}, M_{\bigcap Z(J)}) = C(X)$

Proof  $X$  normal  $\implies$   $A$  and  $B$  are contained in disjoint zero-sets  $Z(f)$  and  $Z(g)$  respectively for some  $f, g \in C(X)$ . By the lemma we get  $I$  and  $J$  with the desired property. Conversely, let  $I = (f_1, f_2, \dots)$  and  $J = (g_1, g_2, \dots)$ . It is easily seen that  $\bigcap_{f \in I} Z(f) = \bigcap_{i \in \mathbb{N}} Z(f_i)$  and  $\bigcap_{g \in J} Z(g) = \bigcap_{i \in \mathbb{N}} Z(g_i)$ . Let  $f$  and  $g \in C(X)$  s.t.  $Z(f) = \bigcap_{i \in \mathbb{N}} Z(f_i)$  and  $Z(g) = \bigcap_{i \in \mathbb{N}} Z(g_i)$ . Since  $(M_{\bigcap_{f \in I} Z(f)}, M_{\bigcap_{g \in J} Z(g)}) = C(X)$ ,  $Z(f) \cap Z(g) = \emptyset$  as  $f \in M_{\bigcap_{f \in I} Z(f)}$  and  $g \in M_{\bigcap_{g \in J} Z(g)}$ . But  $Z(f) \supset A$  and  $Z(g) \supset B$  and we get that  $A$  and  $B$  are contained in disjoint zero-sets. #

Remark 1.2 Let  $T$  denote the Tychonoff plank. We know that  $T$  is not normal and that  $C(T) \cong C(\beta T)$ . We see that the above characterization is not an algebraic invariant.

## 2 Some particular countably generated ideals

The conditions in (3.1.1) lemma give rise to two questions: What are those closed sets  $A$  for which  $O_A$  or  $M_A$  is countably generated? As will be clear from the following results, the desired conditions on  $A$  for the above two assertions to be true are completely analogous to those obtained for the case when  $A$  was a point.

Theorem 2.1 Let  $F$  be a closed set, completely separated from every disjoint closed set in  $X$ . Then  $O_F$  is countably generated if and only if  $F$  has a countable base of neighborhoods in  $X$ .

Proof  $O_F = (f_1, f_2, \dots)$  implies that  $\{Z(f_1), Z(f_2), \dots\}$  generates  $Z[O_F]$ .

Claim Finite intersections of members of  $\{Z(f_1), Z(f_2), \dots\}$  constitute a countable base of neighborhoods of  $F$ .



For, if  $U$  is an open set containing  $F$ , then  $X-U$  will be a closed set completely separated from  $F$ . Let  $f \in C(X)$  with  $f(F) = 0$  and  $f(U) = 1$ . Let  $g \in C(X)$  be such that  $Z(g) = f^{-1}[0, \frac{1}{2}]$  (cf Proposition (1.2.2(a))). Thus  $Z(g)$  is a zero-set neighborhood of  $F$  and  $(X-U) \cap Z(g) = \emptyset$ . We have  $g \in O_F$  and  $Z(g) \subset U$ . Since  $g \in O_F$ ,  $g = \sum_{i=1}^{\infty} k_i f_i$ , with  $k_i \in C(X)$ , where  $L$  is a finite subset of  $N$ . Clearly  $Z(g) \supset \bigcap_{i \in L} Z(f_i)$ . Thus  $F \subset \bigcap_{i \in L} Z(f_i) \subset Z(g) \subset U$ , and the claim is true. Conversely, let  $\{U_i\}_{i \in N}$  be an open base of neighborhoods for  $F$ . It is clear that  $F = \bigcap_{i=1}^{\infty} U_i$ . By hypothesis, for each  $i$  there exists a  $g_i \in C(X)$  with  $g_i(F) = 0$  and  $g_i(X-U_i) = 1$ .

**Claim** The collection  $\{f_1, f_2, \dots\}$ , where  $f_i \in C(X)$  and  $Z(f_i) = g_i^{-1}[0, \frac{1}{2}]$ , generates  $O_F$ .

For, if  $f \in O_F$  then there exists some  $U_i$  with  $Z(f) \supset U_i \supset F$ . Hence  $Z(f) \supset U_i \supset Z(f_i) \supset F$ . Proposition (1.2.3(a)) implies the existence of a  $k \in C(X)$  such that  $f = k f_i$ . #

**Corollary 2.2** Let  $X$  be normal and  $F$  closed in  $X$ . Then  $O_F$  is countably generated if and only if  $F$  has a countable base of neighborhoods in  $X$ .

Since in a compact space a zero-set has a countable base of neighborhoods (Corollary 2.4.4), we have the following

**Corollary 2.3** Let  $F$  be a closed set in a compact space  $X$ . Then  $O_F$  is countably generated if and only if  $F$  is a zero-set.

The following example of a space  $X$  in which  $A$  is a closed set such that  $O_A$  is countably generated but  $A$  does not have a countable base of neighborhoods was discovered by Kohls [14]

Example 2.1 Let  $X = \beta\mathbb{P} - (\beta\mathbb{Q} - \mathbb{Q})$  Consider the ideal

$$I = \{f \in C(X) \mid X - Z(f) \text{ is a bounded subset of } \mathbb{P}\}$$

$I$  is countably generated

Let  $q$  be a rational. Let  $B_q$  be the set of all open intervals symmetric about  $q$  with rational end points. Let  $A \in B_q$ . Since  $\mathbb{R}$  is perfectly normal, there exists a function  $f \in C(\mathbb{R})$  such that  $Z(f) = \mathbb{R} - A$ . Since  $A$  is bounded, the extension of  $f$  to  $\beta\mathbb{P}$  will vanish on  $\beta\mathbb{R} - A$ . Thus for each  $A$  in  $B_q$  we get a function  $f \in C(X)$  such that  $Z(f) = X - A$ . If  $A = (q - q', q + q')$ , then denote the  $f$  obtained above, for this interval, by  $f_{qq'}$ . It is now clear that the set  $\{f_{qq'} \mid q, q' \in \mathbb{Q}\}$  generates  $I$ . For, if  $f \in I$ , consider a rational  $q \in X - Z(f)$  and another large enough rational  $q'$  such that  $(q - q', q + q') \supset X - Z(f)$  and  $q'$  is an interior point of  $Z(f)$ . Thus  $Z(f)$  is a neighborhood of  $Z(f_{qq'})$  and Proposition (1.2.3(a)) gives the result.

Let  $A = X - \mathbb{R}$ . It is clear that  $O_A = I$ .

$A$  does not have a countable base of neighborhoods.

Let  $\{U_n\}$  be any sequence of neighborhoods of  $A$ . Since each  $U_n$  must contain all irrationals outside some bounded set, we may choose an increasing sequence of distinct positive integers  $\{k_n\}$  such that  $U_n$  contains all irrationals with absolute value exceeding  $k_n$ .

For each  $n$ , select any rational  $q_n \in U_n$  with  $k_n < q_n < k_{n+1}$ . Then  $V = X - \{q_1, q_2, \dots\}$  is a neighborhood of  $A$ , but  $V$  contains no  $U_n$ . Thus,  $A$  does not have a countable base of neighborhoods.

In the above example we observe that  $A$  is not completely separated from  $Q$ .

In [14] Kohls gives another example of a space in which a closed set  $A$  has countable base of neighborhoods but  $O_A$  is not countably generated. However, we observe that the closed set  $A$  in this example does not have a countable base of neighborhoods and thus the example does not serve the purpose.

The space considered for the above mentioned example is the space  $\Gamma$  of Example 2.5.2 and the closed set  $A$  is the set  $Q$ . Since  $Q$  is not a zero-set, Lemma (3.1.1) implies that  $O_Q$  cannot be countably generated. We prove that  $Q$  does not have a countable base of neighborhoods in  $\Gamma$ .

Let  $\{U_i\}$  be a decreasing sequence of neighborhoods of  $Q$ . We choose a subsequence of  $\{U_i\}$  as follows.

We say  $U_1 \supsetneq U_{p_1}$  at 1 if  $B(1, \frac{1}{2}) \cap U_1 \supsetneq B(1, \frac{1}{2}) \cap U_{p_1}$ . Similarly we say  $U_{p_1} \supsetneq U_{p_2}$  at 2 if  $B(2, \frac{1}{3}) \cap U_{p_1} \supsetneq B(2, \frac{1}{3}) \cap U_{p_2}$  and so on, where  $B(r, s)$  denotes the disc of radius  $s$  with center  $r$ . We consider the sequence  $\{U_{p_0=1}, U_{p_1}, U_{p_2}, \dots\}$  thus constructed. Let  $q_j$  be an element in  $\Gamma$  such that  $q_j \in B(j, \frac{1}{j+1}) \cap U_{p_{j-1}}$  and does not belong to  $B(j, \frac{1}{j+1}) \cap U_{p_j}$ . We get a sequence  $\{q_j\}$  in  $\Gamma$  which is closed by

construction Thus  $\Gamma - \{q_1\}$  is an open set containing  $Q$  and not containing any  $U_{p_1}$ , and hence  $\Gamma - \{q_1\}$  does not contain any  $U_1$  either

This way we are left with the following

Question 2 Let  $A$  have a countable base of neighborhoods in  $X$  where  $A$  is closed in  $X$  Does it always follow that  $O_A$  is countably generated ?

The above theorem helps us in getting examples of rings in which countable intersection of countably generated ideals need not be countably generated Consider the space  $\Gamma$  of the above discussion

It is plain that the space  $\Gamma$  satisfies first axiom of countability Thus  $O_q$ , for each  $q \in \Gamma$ , is countably generated But  $O_Q = \bigcap_{q \in Q} O_q$  is not countably generated Thus  $C(\Gamma)$  is a commutative ring with identity in which countable intersection of countably generated ideals is not countably generated

Another interesting example of such a ring is  $C(R)$  Infact, proposition (1 2 11) implies that  $Z$  does not have a countable base of neighborhoods in  $R$  Since  $R$  is normal,  $O_7$  will not be countably generated But  $O_n$  for each  $n \in Z$  is countably generated since  $R$  is first countable

The next theorem in this sequence was proved by Gillman [8] for compact subsets  $A$  of  $X$  It was pointed out by Kohls [14] that the arguments in that proof could be modified to get a proof of the theorem for closed subsets  $A$  of  $X$

Theorem 2.4      Let  $A$  be closed in  $X$ . Then  $M_A$  is countably generated if and only if  $A$  is open in  $X$ .

Proof      Let  $M_A = (f_1, f_2, \dots)$ . Consider  $h = \sum 2^{-n} |f_n|^{1/2}$ . Since  $\sum 2^{-n} |f_n|^{1/2}$  is a uniformly convergent series of continuous functions,  $h$  is a continuous function. Also, it is easy to verify that  $Z(h) = A$ . Thus  $h \in M_A$  and hence  $h = \sum_{i=1}^n g_i f_i$  for some  $g_i \in C(X)$ . If  $a \in A$  and  $m = \max_{1 \leq i \leq n} |g_i(a)|$ , then  $W = \bigcap_{i=1}^n g_i^{-1}(-m-1, m+1)$  will be an open neighbourhood of  $a$  on which each  $g_i$  will be bounded by  $m+1$ .

$$h(x) \leq (1+m)(|f_1(x)| + |f_2(x)| + \dots + |f_n(x)|) \text{ for } x \in W$$

Since each  $f_i$  is zero on  $a$ , there exists a neighborhood  $U$  of  $a$  such that  $|f_i(x)|^{1/2} < \frac{2^{-n}}{m+1}$  for each  $1 \leq i \leq n$  and  $x \in U$ .

$$(1+m) |f_i(x)| < 2^{-n} |f_i(x)|^{1/2} \text{ whenever } |f_i(x)| \neq 0 \text{ and } x \in U$$

Thus, if  $x \in W \cap U$  and  $f_i(x) \neq 0$  for any  $1 \leq i \leq n$ , we have

$$h(x) \leq (m+1)(|f_1(x)| + |f_2(x)| + \dots + |f_n(x)|) < 2^{-n}(|f_1(x)|^{1/2} + |f_2(x)|^{1/2} + \dots + |f_n(x)|^{1/2}), \text{ a contradiction to the}$$

definition of  $h$

Hence  $x \in W \cap U$  implies that  $f_i(x) = 0$  for all  $1 \leq i \leq n$ . We get that  $W \cap U \subset Z(h) = A$ . But  $W \cap U$  is a neighborhood of  $a$ . This gives that  $a$  is an interior point of  $A$  and hence  $A$  is open.

Conversely, if  $A$  is open and closed both then  $A$  is a zero-set

Let  $A = Z(f)$ , for some  $f \in C(X)$ . Clearly  $f$  generates  $M_A$  in view of proposition (1.2.3(a)) #

Corollary 2 5  $M_A$  is not countably generated for any closed  $A$  properly contained in a completely regular Hausdorff connected space

The conditions on a closed set  $A$  for which  $O_A$  or  $M_A$  is finitely generated are easy to derive. In fact, from the proof of the Lemma (3 1 1) it is clear that if  $I$  is an ideal generated by  $\{f_\alpha \mid \alpha \in \Lambda\}$  for some indexing set  $\Lambda$  and if  $O_A \subseteq I \subseteq M_A$  for some closed set  $A$ , then  $A = \bigcap_{f_\alpha \in I} Z(f_\alpha) = \bigcap Z(O_A) = \bigcap Z(M_A)$ . Thus if  $O_A$  is finitely generated,  $A$  becomes a neighborhood of itself and hence is open. Conversely, if  $A$  is open then,  $A$  is a zero-set and it is clearly seen that  $O_A$  is generated by a function  $f$  such that  $Z(f) = A$ . We proved the following

Proposition 2 6 For any closed set  $A$  in  $X$ ,  $O_A$  is finitely generated if and only if  $A$  is open

We next observe

Theorem 2 7 Let  $A$  be a closed subset of  $X$ . Then,  $M_A$  is finitely generated if and only if  $A$  is open

Proof Clear from Theorem 3 2 4 #

Corollary 2 8 For any closed set  $A$  of  $X$ ,  $M_A$  countably generated  $\iff M_A$  finitely generated  $\iff M_A$  principal  $\iff A$  open

§3 Countably generated  $O^A$  and  $M^A$  for  $A$  closed in  $\beta X$

The necessary and sufficient conditions on a closed set  $A$  of  $\beta X$  for which  $O^A$  is countably generated are exactly the same as for  $O_A$  where  $A$  is closed set of  $X$ . Precisely we have the following

Theorem 3.1 Let  $A$  be a closed set in  $\beta X$ . Then  $O^A$  is countably generated if and only if  $A$  is a zero-set in  $\beta X$ .

Proof Let  $O^A = (f_1, f_2, \dots)$

Claim  $\bigcap_{g \in O^A} \text{Int } \overline{Z(g)}^{\beta X} = \bigcap_{i=1}^{\infty} \text{Int } \overline{Z(f_i)}^{\beta X}$  For, if  $g \in O^A$ , then

$g = \sum_{\text{finite}} a_i f_i$  for some  $a_i \in C(X)$ , so that  $Z(g) \supset \bigcap_{\text{finite}} Z(f_i)$

$$\overline{Z(g)}^{\beta X} \supset \overline{\bigcap_{\text{finite}} Z(f_i)}^{\beta X} = \bigcap_{\text{finite}} \overline{Z(f_i)}^{\beta X}$$

$$\text{Int } \overline{Z(g)}^{\beta X} \supset \text{Int } \bigcap_{\text{finite}} \overline{Z(f_i)}^{\beta X} = \bigcap_{\text{finite}} \text{Int } \overline{Z(f_i)}^{\beta X}$$

$$\cdot \bigcap_{g \in O^A} \text{Int } \overline{Z(g)}^{\beta X} \supset \bigcap_{i=1}^{\infty} \text{Int } \overline{Z(f_i)}^{\beta X} \quad \text{That}$$

$$\bigcap_{i=1}^{\infty} \text{Int } \overline{Z(f_i)}^{\beta X} \supset \bigcap_{g \in O^A} \text{Int } \overline{Z(g)}^{\beta X} \text{ is clear as } f_i \in O^A \text{ for each } i \in \mathbb{N}$$

$$\text{By proposition (1.2.9), } A = \bigcap_{i=1}^{\infty} \text{Int } \overline{Z(f_i)}^{\beta X} \text{ Thus } A \text{ is a } G_\delta$$

in  $\beta X$ . Since  $\beta X$  is normal, proposition (1.2.1) implies that  $A$  is a zero-set.

Conversely, let  $A$  be a zero-set. Corollary (3.2.3) implies that  $O_A^{\beta X}$  is countably generated in  $C(\beta X)$ . Let  $O_A^{\beta X} = (f_1, f_2, \dots)$ , where  $f_i \in C(\beta X)$ . Also, let  $g \in O^A$ . By proposition (1.2.3(a)) there exists a unit  $u \in C(X)$  such that  $u g = (-1 \vee g) \wedge 1 = \underline{g}$  (say). Thus  $Z_X(\underline{g}) = Z_X(g)$  and  $\underline{g}$  is bounded. Let  $\tilde{\underline{g}}$  be the extension of  $\underline{g}$  to  $\beta X$ . We have  $Z_{\beta X}(\tilde{\underline{g}}) \supset \overline{Z_X(\underline{g})}^{\beta X} = \overline{Z_X(g)}^{\beta X}$ . As  $g \in O^A$ ,  $\overline{Z_X(g)}^{\beta X}$  is a neighborhood of  $A$ . So  $Z_{\beta X}(\tilde{\underline{g}})$  is also a neighborhood of  $A$  and hence

$\tilde{g} \in O_A^{\beta X}$  We get that  $\tilde{g} = \sum_{\text{finite}} a_1 f_1$  for some  $a_1 \in C(\beta X)$

$$\tilde{g}/X = g = \sum_{\text{finite}} a_1 f_1/X = \sum a_1/X \cdot f_1/X$$

From this it becomes clear that  $(f_1/X, f_2/X, \dots)$  generates  $O^A$  #

The following corollary is trivial

Corollary 3 2  $O^A$  is countably generated if and only if  $O_{\beta X}^A$  is countably generated for any closed set  $A$  in  $\beta X$

Remark 3 1 The proof of the converse of the above theorem can easily be modified to prove the following

Proposition 3 3  $M_A^{\beta X}$  countably generated  $\implies M^A$  countably generated for any closed set  $A$  in  $\beta X$

Also, from the proof of the theorem (3 3 1) we can extract the arguments to prove

Proposition 3 4 Let  $A$  be closed in  $\beta X$

These are equivalent

- (1)  $O^A$  is finitely generated
- (2)  $O_A^{\beta X}$  is finitely generated
- (3)  $A$  is open in  $\beta X$

(2)  $\iff$  (3) has been proved in proposition (3 2 6)

Let  $A$  be an open as well as closed set in  $\beta X$  Corollary (3 2 7) implies that  $M_A^{\beta X}$  is principal and hence  $M^A$  is countably generated by proposition (3 3 3) The converse, i.e.,  $M^A$  countably generated implies  $A$  open for any closed  $A$  in  $\beta X$  was left as an open problem in [5]



We settle the problem for pseudocompact spaces. We also give a counter example to show that in a general space the above mentioned converse is not true. In fact, it was observed later that, G. De Marco [4] had also pointed out a counter example for the same purpose and his example resembles the one given here excepting that we do not use the concept of round filters.

To prove the final result of this section we require the following lemma.

Lemma 3.5 Let  $X$  be pseudocompact and  $g \in C(\beta X)$ . Also let  $\underline{g} = g|X$ . Then,  $Z_{\beta X}(g) = \overline{Z_X(\underline{g})}^{\beta X}$ .

Proof It is plain that  $Z_{\beta X}(g) \supseteq \overline{Z_X(\underline{g})}^{\beta X}$ , and also that  $Z_X(\underline{g}) = Z_{\beta X}(g) \cap X \neq \emptyset$  (proposition (1.2.8(c))). Next, let  $Z_{\beta X}(g) = \bigcap_{n=1}^{\infty} \overline{Z_X(f_n)}^{\beta X}$ , where  $f_n \in C(X)$ ,  $n \in \mathbb{N}$ , then

$$Z_{\beta X}(g) \supset \bigcap_{n=1}^{\infty} Z_X(f_n)$$

$$\therefore Z_{\beta X}(g) \cap X \supset \bigcap_n Z_X(f_n)$$

$$\begin{aligned} \overline{Z_{\beta X}(g) \cap X}^{\beta X} &\supset \bigcap_n \overline{Z_X(f_n)}^{\beta X} \\ &= \overline{\bigcap_n Z_X(f_n)}^{\cup X} \\ &= \bigcap_n \overline{Z_X(f_n)}^{\cup X} \\ &= \bigcap_n \overline{Z_X(f_n)}^{\beta X} \\ &= Z_{\beta X}(g) \end{aligned}$$

But  $Z_{\beta X}(g) \cap X = Z_X(\underline{g})$

$$\overline{Z_X(\underline{g})}^{\beta X} \supseteq Z_{\beta X}(g)$$

In the above proof we have used the proposition (1 2 8(d))

Proposition 3 6 Let  $A$  be any subset of a pseudocompact space  $X$ . Then,  $M^A$  countably generated  $\implies M_A^{\beta X}$  is countably generated

Proof Let  $M^A = \langle f_1, f_2, \dots \rangle$  and  $g \in M_A^{\beta X}$ . By above lemma,

$Z_{\beta X}(g) = \overline{Z_X(\underline{g})}^{\beta X}$ , where  $\underline{g} = g|X$ . Since  $g \in M_A^{\beta X}$ ,  $Z_{\beta X}(g) \supset A$ , and

hence  $\overline{Z_X(\underline{g})}^{\beta X} \supset A$  giving us  $\underline{g} \in M^A$ . There exist finitely many functions  $f_1, f_2, \dots, f_n$  (say) such that  $\underline{g} = \sum_{i=1}^n a_i f_i$  where  $a_i \in C(X)$

Since  $X$  is pseudocompact, each of  $a_i$  and  $f_i$  can be extended to  $\beta X$ .

If  $\tilde{f}$  denotes the extension of a function  $f \in C(X)$  to  $\beta X$ , then  $g = \tilde{\underline{g}} = \sum_{i=1}^n \tilde{a}_i \tilde{f}_i$ , because of unique extension property preserved by  $\beta X$ .

We get that  $\langle \tilde{f}_1, \tilde{f}_2, \dots \rangle = M_A^{\beta X}$  #

The propositions (3 3 3) and (3 3 6) are combined to give the following theorems

Theorem 3 7 Let  $A$  be a closed subset of  $\beta X$ . The following are equivalent for a pseudocompact space  $X$

- (1)  $M^A$  is countably generated
- (2)  $M_A^{\beta X}$  is countably generated
- (3)  $A$  is open in  $\beta X$

As was mentioned earlier, the above theorem is not true in a general space

Example 3.1 Take a non discrete P-space  $X$ . From proposition (1.2.13(b)) it is clear that  $X$  is not pseudocompact. Thus proposition (1.2.8(c)) ensures the existence of a zero-set  $A$  in  $\beta X$  which does not intersect  $X$ . But proposition (1.2.13(a)) implies  $O^A = M^A$ . Since  $A \cap X = \emptyset$ ,  $A$  cannot be open in  $\beta X$ . By Theorem (3.3.1)  $O^A$  is countably generated. Thus  $M^A$  is countably generated but  $A$  is not open in  $\beta X$ .

As an application of theorem 3.1, we will prove the following well known theorem of [9]

Theorem 3.8 Every non-empty zero-set in  $\beta X$ , if disjoint from  $X$ , has at least  $2^c$  elements

To prove this theorem, we will make use of the following theorem of Gillman [8]

"Each free, countably generated ideal is contained in  $2^c$  hyper-real maximal ideals but in no real maximal ideal"

Proof of Theorem 3.8 Let  $A$  be a zero-set in  $\beta X$  with  $A \cap X = \emptyset$ . Theorem 3.1 implies that  $O^A$  is countably generated. It is clear that  $O^A$  is free. The above theorem of Gillman applies to give the result. #

Since  $Z$  does not have a countable base of neighborhoods in  $R$  (Proposition 1.2.11),  $C(R)$  is an example of a ring in which  $O_A$  need not be countably generated for each closed set  $A$  in  $R$ . But if  $X$  is

a compact perfectly normal space, then corollary 3 2 3 implies that  $O_A$  is countably generated in  $C(X)$  for every closed set  $A$  in  $X$ . Thus, the class of spaces  $X$  whose  $C(X)$  satisfy the above condition lies between the class of perfectly normal spaces and the class of compact perfectly normal spaces. As is shown by  $\mathbb{R}$ , metric spaces need not fall in this class. It will be interesting to identify the above mentioned class.

## CHAPTER 4

### STUDY VIA RING ISOMORPHISM

In [1] Anderson proved that if  $X$  and  $Y$  are both completely regular wpn spaces, an isomorphism between their rings of continuous functions implies a homeomorphism between  $X$  and  $Y$ . We prove that if  $X$  is a completely regular, wpn, realcompact space, then the isomorphism  $h: C(X) \rightarrow C(Y)$  implies  $X$  and  $Y$  are homeomorphic. This differs from similar theorems in the literature in that we do not put any condition on  $Y$  except that it is completely regular. We prove the main theorem through two propositions about images of  $M_p$  and  $O_p$  under an isomorphism. A similar proof works for Anderson's theorem also.

Proposition 1.1 Let  $X$  and  $Y$  be two spaces with  $h$  an isomorphism between  $C(X)$  and  $C(Y)$ . If  $p \in X$ , then  $h(M^p) = M^q$  for some  $q \in Y$ . Also, then  $h(O^p) = O^q$ .

**Proof** Since under an onto homomorphism a maximal ideal goes to a maximal ideal, the first assertion is clear. Since Theorem (1.2.4) gives us that a  $z$ -ideal is intersection of prime ideals,  $O^p$  is intersection of all prime ideals between  $O^p$  and  $M^p$ . It is clear that  $h(O^p)$  is the intersection of all prime ideals between  $O^q$  and  $M^q$ , since image of a prime ideal is a prime ideal under a one-one homomorphism. This shows that  $h(O^p) = O^q$ .

We use the above proposition to give another proof of

Lemma 3.3.5

Let  $A$  be a zero-set in  $X$ . There exists a principal ideal  $I$  in  $C(X)$  with  $0_A \subseteq I \subseteq M_A$ . Since  $X$  is pseudocompact, let  $h$  be the isomorphism between  $C(X)$  and  $C(\beta X)$  such that  $h(f) = f^\beta$  where  $f^\beta$  is the extension of  $f$  to  $\beta X$ . Thus for  $p \in X$ ,  $h(M_p) = \{f^\beta \mid f \in M_p\}$ . Because of the unique extension of  $f \in C(X)$  to  $\beta X$ , it is clear that  $h(M_p) = M_p^{\beta X}$ .

From the above proposition, we get that

$$h(0_p) = 0_p^{\beta X}$$

$$h(0_A) = \bigcap_{a \in A} 0_a^{\beta X} \text{ and } h(M_A) = \bigcap_{a \in A} M_a^{\beta X}$$

$$0_A^{\beta X} \subseteq h(I) \subseteq M_A^{\beta X}$$

$$0_{\overline{\beta X}}^{\beta X} \subseteq 0_A^{\beta X} \subseteq h(I) \subseteq M_A^{\beta X} = M_{\overline{\beta X}}^{\beta X}$$

Since  $h(I)$  is principal and  $\overline{\beta X}$  is closed in  $\beta X$ ,  $\overline{\beta X}$  is a zero-set in  $\beta X$ . #

Corollary 1.2 Let  $X$  be a pseudocompact space. A point zero-set in  $X$  is a zero-set in  $\beta X$ .

Proof In the above proposition take a point zero-set in place of the zero-set  $A$ . #

Corollary 1.3 A pseudocompact wpn space is first countable.

Proof The proof follows from Corollaries 2.1.4 and 4.4.2. #

The above corollary proves (5) of Theorem 2.4.8.

Corollary 1.4 Every  $G_\delta$ -point in  $X$  is a  $G_\delta$ -point in  $\beta X$ .

**Proof** In the above proposition take  $C(\alpha X)$  in place of  $C(\beta X)$  and define  $h$  as  $h(f) = f^\alpha$ , the extension of  $f \in C(X)$  to  $\alpha X$  #

Before proving the main theorem, we will prove the following

**Proposition 1.5** Let  $X$  and  $Y$  be two spaces such that  $h: C(X) \rightarrow C(Y)$  is an isomorphism &  $p \in X$  be a zero-set in  $X$ . Then  $h(M_p)$  is also a fixed maximal ideal.

**Proof** Since  $\frac{C(X)}{M_p}$  is isomorphic to  $\frac{C(Y)}{h(M_p)}$ ,  $h(M_p)$  is a real maximal ideal. If  $h(M_p) = M_{p'}$  for some  $p' \in Y$ , then by proposition 4.1.4,  $h(O_p) = O_{p'}$ . Also, if  $I$  is a countably generated ideal between  $O_p$  and  $M_p$ , then  $h(I)$  is also countably generated, since  $h$  is an isomorphism. If  $\{f_i \mid i \in \mathbb{N}\}$  generates  $I$ , then  $\bigcap_{i=1}^{\infty} Z[h(f_i)] = p'$  or  $\emptyset$ . Since  $M_{p'}$  is real,  $Z[M_{p'}]$  is closed under countable intersection. Thus  $\bigcap_{i=1}^{\infty} Z[h(I)] = p'$ , and we get  $p' \in Z[M_{p'}]$ , hence  $Z[M_{p'}]$  is fixed. #

**Theorem 1.6** Let  $X$  be wpn and realcompact. Let  $Y$  be another space such that  $C(X) \cong C(Y)$ . Then  $X$  and  $Y$  are homeomorphic.

**Proof** Let  $h$  be an isomorphism between  $C(X)$  and  $C(Y)$ . The above proposition implies that for  $p \in X$ ,  $h(M_p) = M_{p'}$  for some  $p' \in Y$ . Define  $f: X \rightarrow Y$  such that  $f(p) = p'$ .

Let  $M_{p_1}$  be some maximal ideal in  $C(Y)$ . It is clear that  $h^{-1}(M_{p_1})$  will be real. Since  $X$  is realcompact,  $h^{-1}(M_{p_1})$  is fixed. We get that  $f$  is onto. Next,  $f(p_1) = f(p_2) \implies h^{-1}(M_{f(p_1)}) = h^{-1}(M_{f(p_2)}) \implies M_{p_1} = M_{p_2} \implies p_1 = p_2$ .

Thus  $f$  is one-one also.

It is easy to observe that  $h(M_A) = M_{f(A)}$ . Infact,  $h(M_A) =$   
 $h(\bigcap_{a \in A} M_a) = \bigcap_{a \in A} h(M_a) = \bigcap_{a \in A} M_{f(a)} = M_{f(A)}$ . Similarly by proposition  
 (4.1.1) we have  $h(0_A) = 0_{f(A)}$

Claim  $f$  is a closed map

We can prove even a stronger statement that  $f$  takes zero-sets  
 onto zero-sets

For, if  $A$  is a zero-set, there exists a countably generated  
 ideal  $I$  such that  $0_A \subseteq I \subseteq M_A$ . Hence  $h(0_A) \subseteq h(I) \subseteq h(M_A)$  and we get  
 $0_{f(A)} \subseteq h(I) \subseteq M_{f(A)}$ . Since  $h(I)$  is countably generated, the claim  
 will be true if we show that  $f(A)$  is closed

Let  $f(A)$  be not closed. Clearly  $M_{f(A)} = \overline{M_{f(A)}}$ . But  $M_{f(A)} = h(M_A)$

$$h^{-1}(\overline{M_{f(A)}}) = M_A$$

$$\overline{f^{-1}(\overline{f(A)})} = M_A$$

$$f^{-1}(\overline{f(A)}) \subset A, \text{ since } A \text{ is closed}$$

$$\overline{f(A)} \subset f(A), \text{ and we get that } f(A) \text{ is closed}$$

Similarly it can be proved that  $f^{-1}$  is closed

Remark 1.1 In the above Theorem, the realcompactness of  $X$  was  
 used in proving that  $f$  is onto. #

Remark 1.2 If in the above theorem we have  $Y$  also to be wpn, then  
 applying Proposition (4.1.5) for  $h^{-1}$ , we see that  $h^{-1}(M_p)$  is fixed for



any  $p \in Y$ . We get that the function  $h$  defined in the proof of above theorem is onto. Hence the above proof can be refined to get

Theorem 1.7 (Anderson) Let  $X$  and  $Y$  be wpn such that  $C(X) \cong C(Y)$ . Then,  $X$  is homeomorphic to  $Y$ . #

Hewitt [11] proved the following theorem

Theorem 1.8 The ring  $C(X)$  completely determines  $X$  if and only if  $X$  is realcompact with the property that for every non-isolated point  $p \in X$ , there is a function in  $C(X - \{p\})$  which cannot be continuously extended over  $X$ , i.e.,  $X - \{p\}$  is not  $C$ -embedded in  $X$ .

From Theorem 1.6 we have that if  $X$  is wpn and realcompact, then  $C(X)$  completely determines  $X$ . Comparing this with Hewitt's theorem, we at once get

Corollary 1.9 Let  $X$  be realcompact, wpn space. Then,  $X - \{p\}$  is not  $C$ -embedded in  $X$  for any nonisolated point  $p \in X$ .

Since  $M$  is  $C^*$ -embedded in the space  $\Sigma$  (cf Example 1.1.4) and  $\Sigma$  is wpn, realcompact (cf Theorem 1.2.10), the term " $C$ -embedded" in Corollary (1.9) cannot be replaced by " $C^*$ -embedded".

## §2 Some subrings of $C(X)$

Let  $X$  be a space and  $F$  a subset of  $X$ . Define  $R_F = \{f \in C(X) \text{ such that } f(F) \text{ is a constant}\}$ . It is clear that  $R_F$  is closed under the operations  $+$  and  $\cdot$ , and that  $R_F$  is a subring of the ring  $C(X)$ . Also,  $1$  belongs to  $R_F$ .

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Theorem 2.1  $C(X/F) \cong R_F$

Proof Let  $\tau: X \rightarrow X/F$  be the quotient map. If  $f: X/F \rightarrow R$  is any element in  $C(X/F)$ , define  $h(f) = f \circ \tau$ . Clearly  $h$  is a well defined map from  $C(X/F)$  to  $R_F$ . Next, define  $\underline{g}: X/F \rightarrow R$  such that  $\underline{g} \circ \tau = g$  for any  $g \in R_F$ . Since  $X/F$  has the quotient topology,  $\underline{g}$  is continuous. Thus  $h$  is onto.  $h$  is clearly one-one. We prove that  $h$  is a homomorphism.

$$\begin{aligned} h(f+g)(x) &= ((f+g) \circ \tau)(x) = (f+g)(\tau(x)) \\ &= f(\tau(x)) + g(\tau(x)) \\ &= f \circ \tau(x) + g \circ \tau(x) \\ &= (h(f) + h(g))(x) \end{aligned}$$

Similarly,  $h(f \cdot g) = h(f) \cdot h(g)$

Hence  $h$  is an isomorphism. #

Since we are interested in studying  $C(X)$  for a completely regular space  $X$ , we will require  $X/F$  to be completely regular. If  $X$  is completely regular and if  $F$  is a closed subset of  $X$ , completely separated from any disjoint closed set, it is easily seen that  $X/F$  is completely regular. Also, if  $X$  is normal and  $F$  is closed in  $X$ , then  $X/F$  is normal.

Corollary 2.2 A closed  $G_\delta$  in a normal space  $X$  is a zero-set.

Proof Let  $F$  be a closed  $G_\delta$  in  $X$ . It is clear that in  $X/F$ , the point determined by  $F$  is a  $G_\delta$ , hence this point is a zero-set in  $X/F$ . With the help of  $\tau$  we can construct a function in  $C(X)$  precisely vanishing on  $F$ . #

## CHAPTER 5

### COUNTABLY GENERATED PRIME IDEALS AND $z$ -IDEALS

In the last chapter we observed that in a realcompact wpn space, for a non-isolated point  $p$ ,  $X - \{p\}$  can be  $C^*$ -embedded in  $X$ . Hewitt [11] proved that in a first countable space,  $X - \{p\}$  cannot be  $C^*$ -embedded in  $X$ , for any non-isolated point  $p \in X$ . We prove this result below and apply it to a result of Kohls [14] to get that in a first countable space a nonmaximal prime ideal cannot be countably generated. Next, we prove a theorem regarding nonexistence of countably generated  $z$ -ideals above  $O_p$ , when  $p$  has a countable base of neighborhoods. The proof has been deduced from a more general theorem of Kohls [14]. The theorem has been generalized for some particular closed sets. By the help of this theorem we identify all the countably generated  $z$ -ideals in a pseudocompact space. In [4] De Marco asks whether a countably generated  $z$ -ideal  $I$  is always of the form  $\bigcap_{a \in A} O_a$  with  $A$  a zero-set of  $f(X)$ ? We prove that if it is so, even for closed sets  $A$  in  $\beta X$ , then  $A = \theta(I)$ .

#### §1 Countably generated prime ideals

We will first prove Hewitt's result

Theorem 1.1 Let  $p \in X$  have a countable base of neighborhoods

Then  $X - \{p\}$  is not  $C^*$ -embedded in  $X$

Proof Let  $\{U_n\}_{n \in \mathbb{N}}$  be a base of neighborhoods for  $p$ , which without loss of generality, can be considered to be a decreasing sequence

Let  $p_1$  be any point in  $U_1$  such that it has a neighborhood  $V_1$  contained in  $U_1$  and such that  $p \notin \bar{V}_1$ . Choose a neighborhood  $U_{n_1}$  of  $p$  which does not intersect  $\bar{V}_1$ . Next, we choose a point  $p_{n_1} \in U_{n_1}$  different from  $p$  and repeat the same process to obtain a neighborhood  $U_{p_2}$  of  $p$  and  $V_{n_1} (\subseteq U_{n_1})$  of  $p_{n_1}$  such that  $U_{p_2} \cap \bar{V}_{n_1} = \emptyset$ . Continuing this way we will get a sequence of points  $\{p_{n_0=1}, p_{n_1}, p_{n_2}, \dots\}$  and neighborhoods  $\{V_1, V_{n_1}, V_{n_2}, \dots\}$ , where each  $V_{n_1}$  is a neighborhood of  $p_{n_1}$ , with the following properties

- (1)  $V_{n_1} \cap V_{n_j} = \emptyset$  for  $i \neq j$ , and
- (2) For any  $n$ , all but a finite number of the neighborhoods  $V_{n_k}$  are contained in  $U_n$ .

Define a sequence  $\{\psi_{n_k}\}_{k \in \mathbb{N}}$  of continuous functions on  $X$  such that  $\psi_{n_k}(p_{n_k}) = 1$  and  $\psi_{n_k}(V_{n_k}^c) = 0$ , whenever  $k$  is an even integer and  $\psi_{n_k} = 0$  on  $X$ , whenever  $k$  is odd. Define a function  $\psi$  on  $X - \{p\}$  as follows

$$\psi(q) = \sum_{k=0}^{\infty} \psi_{n_k}(q), \quad q \in X - \{p\}$$

For any  $q \in X - \{p\}$ , there is a neighborhood  $W(q)$  of  $q$  and  $U_n(p)$  of  $p$  such that  $W(q) \cap U_n(p) = \emptyset$ . Thus due to the property (2) of the sequence  $\{V_{n_k}\}_{k \in \mathbb{N}}$ , only a finite number of the sets  $V_{n_k}$  will intersect the set  $W(q)$ . We get that only finitely many of  $\psi_{n_k}$  will be different from 0 on  $W(q)$ , and hence  $\psi$  is continuous at  $q$ , for any  $q \in X - \{p\}$ . Thus,  $\psi$  is continuous on  $X - \{p\}$ . If necessary, we form the bounded function  $\bar{\psi} = \psi \wedge 1$ , which is continuous on whole

of  $X - \{p\}$  and see that  $\bar{\psi}$  cannot be defined at  $p$  so as to be continuous at that point, since in every neighborhood  $U_n(r)$ , there are points  $q_{n_{2k+1}}$  at which  $\bar{\psi}$  vanishes and points  $q_{n_{2k}}$  at which it is equal to 1 #

In [14] Kohls proved the following theorem

Theorem 1 2 A nonmaximal prime ideal  $I$  in  $C(X)$ , containing  $O_p$ , is countably generated if and only if the following three conditions are satisfied

- (1)  $I$  contains an element  $f$  such that  $Z(f) = \{p\}$
- (2) In the class of prime  $z$ -ideals contained properly in  $M_p$ , there is exactly one maximal element  $Q$
- (3) The ideal  $I/Q$  in the ring  $C/Q$  has a countable cofinal subset #

Since the prime ideal  $I$  is nonmaximal and contains  $O_p$ , we get that  $p$  is nonisolated zero-set in  $X$ . Theorem 2 6 4 gives that the statement (2) in above theorem is equivalent to the following

(2')  $X - \{p\}$  is  $C^*$ -embedded in  $X$

Theorem (5 1 1) at once gives us

Corollary 1 3 Let  $X$  be a first countable space. A prime ideal  $I$  in  $C(X)$  is countably generated only if it is maximal

Theorem 3 2 4 gives us the following

Corollary 1 4 Let  $p$  be a non-isolated point having a countable base of neighborhoods. If  $I$  is a prime ideal containing  $O_p$ , then  $I$  cannot be countably generated #

Corollary 1.5      A first countable F-space is discrete      #

## §2 Countably generated z-ideals

Theorem 2.1      Let  $p$  have countable base of neighborhoods and  $I$  be a z-ideal above  $0_p$ . Then  $I$  is countably generated if and only if  $I = 0_p$ .

Proof      Let  $I$  be countably generated and  $I \neq 0_p$ . Then there exists  $Z \in \mathcal{Z}[I]$  which is not a neighborhood of  $p$ ,  $p \in p$  is not an interior point of  $Z$ . Since  $p$  has a countable base of neighborhoods, there exists a sequence  $S \subset X - Z$  that converges to  $p$ . Let  $I = (f_1, f_2, \dots)$  with  $|f_i| \leq 1, \forall i \in \mathbb{N}$ . Define a function to be zero on  $\bar{Z}^{\beta X}$  and  $\sum_m 2^{-m} |f_m|^{1/2}$  on  $S$ . This function is continuous on the closed set  $S \cup \bar{Z}^{\beta X}$ , and hence has a continuous extension to  $\beta X$ . Let the restriction of this function to  $X$  be denoted by  $h$ . Then  $Z(h) \supset Z$ , so  $h \in I$  as  $I$  is a z-ideal. Let  $q \in X - \{p\}$ . There exists a function  $s$  in  $C(X)$  such that  $s(q) = 1$  and  $s$  vanishes on a neighborhood of  $p$ .  $p \in s \in 0_p$ . Thus  $\sum_m 2^{-m} |f_m|^{1/2}$  cannot vanish on  $q (\neq p)$ , hence  $Z(h)$  is disjoint from  $S$ . If we somehow prove that  $Z(h)$  is a neighborhood of  $p$ , we will get a contradiction as  $p$  is the limit point of  $S$ .

Since  $h \in I$ ,  $h = \sum_{\text{finite}} a_i f_i = \sum_{i=1}^n a_i f_i$  (say), where  $a_i \in C(X)$ . Let  $m = \max_i |a_i(p)|$ . Then  $W = \bigcap_{i=1}^n a_i^{-1}(-m-1, m+1)$  is an open neighborhood of  $p$  on which each  $a_i$  is bounded by  $m+1$ .

$$h(x) \leq (1+m) (|f_1(x)| + \dots + |f_n(x)|) \text{ for } x \in W$$

Since each  $f_i$  is zero on  $p$ , there exists a neighborhood  $U$  of  $p$  such that

$$|f_i(x)|^{1/2} < \frac{2^{-n}}{m+1} \text{ for each } 1 \leq i \leq n \text{ and } x \in U$$

$(1+m) |f_1(x)| < 2^{-n} |f_1(x)|^{1/2}$  whenever  $|f_1(x)| \neq 0$  and  $x \in U$   
 Thus, if  $x \in W \cap U$  and if  $f_1(x) \neq 0$  for some  $1$  such that  $1 \leq 1 \leq n$ ,  
 we have  $h(x) < 2^{-n}(|f_1(x)|^{1/2} + \dots + |f_n(x)|^{1/2})$ , a contradiction to  
 the definition of  $h$ . Hence if  $x \in V' \cap J$ ,  $f_1(x) = 0$  for all  $1$  with  
 $1 \leq 1 \leq n$ . We get that  $V \cap U \subset Z(h)$ , and that  $a$  is an interior point  
 of  $Z(h)$ . #

Remark after proposition (1.2.7) shows that an ideal  $I$  in  $C(X)$   
 need not contain  $0_{\phi(I)}$ . We put this condition on the ideal  $I$  to get  
 the following theorem

Theorem 2.2 Let  $I$  be a  $z$ -ideal in  $C(X)$  with the following properties

- (1)  $M_{\phi(I)} \supseteq I \supseteq 0_{\phi(I)}$
- (2)  $\phi(I)$  is completely separated from every disjoint closed set
- (3)  $\phi(I)$  has a countable base of neighborhoods in  $X$

Then  $I$  is countably generated if and only if  $I = 0_{\phi(I)}$

Proof Due to condition (2), we have  $X/\phi(I)$  a completely regular  
 space. Let  $\phi(\tilde{I})$  denote the point determined by  $\phi(I)$  in  $X/\phi(I)$ .

Due to condition (3), the point  $\phi(\tilde{I})$  will have a countable base of  
 neighborhoods in  $X/\phi(I)$ . Let  $h$  be the isomorphism between  $C(X/\phi(I))$

and  $R_{\phi(I)}$  defined in Theorem (4.2.1). It is easy to observe that  
 $h(0_{\phi(\tilde{I})}) = 0_{\phi(I)}$  and  $h(M_{\phi(\tilde{I})}) = M_{\phi(I)}$ . Also, from Theorem (1.2.5)

we get that  $h^{-1}(I)$  is a  $z$ -ideal. We have transferred the whole

situation to  $C(X/\phi(I))$ . Applying Theorem (5.2.1) to the ring  $C(X/\phi(I))$

we get that  $h^{-1}(I)$  is countably generated if and only if  $h^{-1}(I) = 0_{\phi(\tilde{I})}$

The Theorem is clear. #

In a first countable space it is simple to prove that any countable set, which is also compact, has countable base of neighborhoods. Since a metric space is normal, we have

Corollary 2.3 Let  $X$  be a metric space and  $F$  a countable, compact subset of  $X$ . Then no  $z$ -ideal, excepting  $O_F$ , between  $O_F$  and  $M_F$  is countably generated.

The proof of the following corollary is also clear.

Corollary 2.4 A countably generated  $z$ -ideal  $I$  in a compact space  $X$  is of the form  $O_A$ , where  $A (= \phi(I))$  is a zero-set in  $X$ .

We prove similar result for a pseudocompact space also. This is done by transferring the information obtained in  $C(X)$  to  $C(\beta X)$ . We first give the following definitions.

Definition 2.1 Let  $I$  be an ideal in  $C(X)$ . Then,

- (a)  $I^* = I \cap C^*(X)$ , where  $C^*(X)$  is the ring of all bounded continuous functions on  $X$ , and
- (b)  $I^\beta = \{f^\beta \mid f \in I \cap C^*\}$ , where  $f^\beta$  is the extension of  $f$  to  $\beta X$ .

We next prove two propositions.

Proposition 2.5 : Let  $I$  be a  $z$ -ideal in  $C(X)$ . Then  $I^* = I \cap C^*$  is a  $z$ -ideal in  $C^*(X)$  and  $I^\beta = \{f^\beta \mid f \in I \cap C^*\}$  is a  $z$ -ideal in  $C(\beta X)$ .

Proof It is easy to verify that  $I^*$  and  $I^\beta$  are ideals in  $C^*$  and  $C(\beta X)$  respectively. Let  $f \in C^* \ni Z(f) \supseteq Z(g)$  for some  $g \in I^*$ . Since  $C^* \subseteq C$ ,  $f \in I$  i.e.  $f \in I^*$ . Next, let  $f \in C(\beta X) \ni Z_{\beta X}(f) \supseteq Z_{\beta X}(g^\beta)$  for some  $g^\beta \in I^\beta$ . We have  $Z_{\beta X}(f) \cap X \supseteq Z_{\beta X}(g^\beta) \cap X = Z_X(g)$  where



$g = g^\beta/X \in I^*$  Thus,  $Z_X(f/X) \supset Z_X(g)$  Hence  $f/X \in I^*$ , i.e.,  $f^\beta \in I^\beta$  because of unique extension of functions #

Proposition 2 6 Let  $I$  be an ideal such that  $I \not\supseteq 0^{\theta(I)}$  Then  $I^\beta$  is an ideal with  $I^\beta \not\supseteq 0_{\theta(I)}^{\beta X}$

Proof There exists a prime ideal  $P$  in  $C(X)$  such that  $P \supset 0^{\theta(I)}$  and  $P \not\supset I$  So there exists a bounded function  $f \in I$  such that  $f \notin P$ , because from proposition (1 2 3(b)) we know that every ideal in  $C(X)$  has a set of bounded generators Thus  $P \cap C^* \not\supset I^*$  But  $P \cap C^*$  is prime in  $C^*$  Since the mapping  $f \rightarrow f^\beta$  is an isomorphism of  $C^*(X)$  onto  $C(\beta X)$ ,  $(P \cap C^*)^\beta$  is a prime ideal not containing  $I^\beta$  We get that  $I^\beta \not\supseteq 0_{\theta(I)}$  That  $I^\beta \supset 0_{\theta(I)}^{\beta X}$  is clear #

Since every ideal in  $C(X)$  has a set of bounded generators (viz, proposition 1 2 3(b)), if  $I$  is countably generated, we can have a countable set of bounded functions  $(f_1, f_2, \dots)$  which generates  $I$  But  $I^*(=I \cap C^*)$  need not be countably generated Though the condition seems to be very stringent, if we assume that  $I$  &  $I^*$  are countably generated, then for such ideals we have the following

Theorem 2 7 Let  $I$  be a countably generated ideal in  $C(X)$  such that  $I^*$  is also countably generated Then  $I$  is a  $z$ -ideal only if  $I = 0^{\theta(I)}$

Proof Let  $I$  be a  $z$ -ideal If  $I \neq 0^{\theta(I)}$  then Proposition 5 2 6 implies  $I^\beta \not\supseteq 0_{\theta(I)}^{\beta X}$  But since  $I^*$  is countably generated,  $I^\beta$  is also countably generated Proposition (5 2 10) (proved later) implies that  $I^\beta \subseteq M_{\theta(I)}^{\beta X}$  Thus by Corollary (5 2 4) we get that  $I^\beta = 0_{\theta(I)}^{\beta X}$ , a contradiction #

Since for a pseudocompact space  $X$ ,  $C(X) = C^*(X)$ ,  $I$  coincides with  $I^*$ . Thus we have the following

Corollary 2.8 Let  $X$  be a pseudocompact space and  $I$  a  $z$ -ideal in  $C(X)$ . Then  $I$  is countably generated only if  $I = \bigcap_{p \in A} O^p$  #

G. De Marco [4] raised the following question

"Is every countably generated  $z$ -ideal of  $C(X)$  of the form  $\bigcap_{p \in A} O^p$ , with  $A$  a zero-set of  $\beta X$ "?

We prove that if  $I$  is any ideal equal to  $\bigcap_{p \in A} O^p$ , for some closed set  $A$  in  $\beta X$ , then  $A = \theta(I)$ . Before proving this, we have two propositions

Proposition 2.9  $O^A \subseteq M^p \iff p \in A$  where  $A$  is closed in  $\beta X$

Proof Let  $O^A \subseteq M^p$  and  $p \notin A$ . Then there exists  $\tilde{f} \in C(\beta X)$  such that  $Z_{\beta X}(\tilde{f}) \subseteq A$  and  $\tilde{f}(p) = 1$ . Consider  $\tilde{g} \in C(\beta X)$  such that  $Z_{\beta X}(\tilde{g}) = \tilde{f}^{-1}[0, \frac{1}{2}]$ . Thus  $Z_{\beta X}(\tilde{g})$  is a neighborhood of  $A$  and  $p \notin Z_{\beta X}(\tilde{g})$ . Let  $g = \tilde{g}/X$ . Then  $Z(g) = Z_{\beta X}(\tilde{g}) \cap X \subseteq \text{Int } Z_{\beta X}(\tilde{g}) \cap X$ . Thus  $\overline{Z(g)}^{\beta X} \subseteq \overline{\text{Int } Z_{\beta X}(\tilde{g}) \cap X}^{\beta X} = \overline{\text{Int } Z_{\beta X}(\tilde{g})}^{\beta X}$ , as  $X$  is dense in  $\beta X$ . Hence  $\overline{Z(g)}^{\beta X}$  is a neighborhood of  $A$  in  $\beta X$ . We get that  $g \in O^A$ . Since  $Z_{\beta X}(\tilde{g}) \subseteq \overline{Z(g)}^{\beta X}$ ,  $p \notin \overline{Z(g)}^{\beta X}$ . This implies that  $g \notin M^p$ , a contradiction to the fact that  $O^A \subseteq M^p$ . Thus  $p \in A$ .

Converse follows by observing that  $O^A = \bigcap_{p \in A} O^p$  #

Proposition 2.10

$$\bigcap_{p \in \theta(I)} O^p \subseteq I \subseteq \bigcap_{p \in \theta(I)} M^p$$

for any ideal  $I$

Proof  $\theta(I) = \bigcap_{f \in I} \overline{Z(f)}^{\beta X} = \{p \in \beta X \text{ such that } I \subseteq M^p\}$  #

Thus it is clear that  $I \subseteq \bigcap_{p \in \theta(I)} M^p$ . Next, let  $f \in \bigcap_{p \in \theta(I)} O^p$ . Then  $\text{Int}_{\beta X} \overline{Z(f)^{\beta X}} \supset \theta(I)$ .  $X - \text{Int}_{\beta X} \overline{Z(f)^{\beta X}}$  is a closed set in  $\beta X$ .

Since the family  $\{\overline{Z(f)^{\beta X}} \text{ such that } f \in I\}$  has finite intersection property, there exists an  $f_1 \in I$  such that  $\overline{Z(f_1)^{\beta X}} \cap (X - \text{Int}_{\beta X} \overline{Z(f)^{\beta X}}) = \emptyset$ , as  $\beta X$  is compact and  $\theta(I) = \bigcap_{f \in I} \overline{Z(f)^{\beta X}}$ . Thus  $\theta(I) \subseteq \overline{Z(f_1)^{\beta X}} \subseteq \text{Int}_{\beta X} \overline{Z(f)^{\beta X}}$ . Hence Proposition (1 2 3(a)) implies that  $f = k f_1$  for some  $k \in C(X)$ . Since  $f_1 \in I$ ,  $f \in I$ . #

Theorem 2 11 Let  $I = \bigcap_{p \in A} O^p$ , for some closed set  $A$  in  $\beta X$ . Then  $A = \theta(I)$ .

Proof From Proposition (5 2 10) we have

$$\bigcap_{p \in \theta(I)} O^p \subseteq I \subseteq \bigcap_{p \in A} O^p \subseteq \bigcap_{p \in \theta(I)} M^p$$

From Proposition (5 2 9) it is clear that  $\theta(I) \subseteq A$ . Conversely, if  $a \in A$ , then

$$\bigcap_{p \in \theta(I)} O^p \supseteq \bigcap_{p \in \theta(I)} O^p \cap O^a$$

But since  $\bigcap_{p \in \theta(I)} O^p \subseteq \bigcap_{p \in A} O^p$  and  $a \in A$ ,

$$\bigcap_{p \in \theta(I)} O^p \subseteq \bigcap_{p \in \theta(I)} O^p \cap O^a$$

$$\bigcap_{p \in \theta(I)} O^p = \bigcap_{p \in \theta(I)} O^p \cap O^a$$

$$\bigcap_{p \in \theta(I)} O^p \subseteq O^a \subseteq M^a$$

Hence again by Proposition (5 2 9)  $a \in \theta(I)$ .

$$A \subseteq \theta(I) \quad \#$$

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